

1 MARY ANN SMITH  
Deputy Commissioner  
2 Department of Financial Protection and Innovation  
320 West 4<sup>th</sup> Street, Suite 750  
3 Los Angeles, California 90013

4 Attorney for Complainant

5 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION  
6 OF THE STATE OF CALIFORNIA  
7

8	In the Matter of:	)	CFL LICENSE NO.: 603L354
9		)	
10	THE COMMISSIONER OF FINANCIAL	)	
	PROTECTION AND INNOVATION,	)	
11		)	
	Complainant,	)	
12		)	NOTICE OF INTENTION TO ISSUE ORDER
13	v.	)	REVOKING CALIFORNIA FINANCING
		)	LAW LICENSE
14	LION GROUP INVESTMENTS LLC,	)	
		)	
15	Respondent.	)	
16		)	

17 Pursuant to section 22714 of the California Financing Law (Fin. Code, § 22000 et seq.),  
18 notice is hereby given of the intention of the Commissioner of Financial Protection and Innovation  
19 to enter an Order to revoke Respondent, Lion Group Investments LLC’s finance lender and/or  
20 broker license.

21 The attached Accusation, which is incorporated herein by this reference, states the reasons  
22 for the intended Order.

23 Unless a request for hearing, as evidenced by the mailing or delivery of the Notice of  
24 Defense, is received within 15 days after the Accusation was personally served upon you or mailed  
25 to you, such Order may be entered at any time thereafter without a hearing.  
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Dated: February 27, 2026  
Los Angeles, California



KC MOHSENI  
Commissioner of Financial Protection and Innovation

By \_\_\_\_\_  
ADEOLA TANIMOJO  
Special Administrator  
California Financing Law