

CHECK BEFORE YOU INVEST

Before investing, have the person offering products/services complete this form, sign it, and return it to you. Verify both the person and company are licensed and authorized to sell/offer the specific type of product by contacting the appropriate licensing agency. Keep the completed form for your records.

Seller/Agent and Company Information (please print clearly)

Seller/Agent Name: _____

Company/Business Name: _____

Company/Business Address: _____

Phone Number(s): _____

Email: _____

I am offering the following product(s) and/or services (check all that apply)

Investment:

- IRAs Mutual Funds Oil & Gas/Minerals
 Private Placements Securities Other: _____

Financial Planning:

- Annuities Financial Planning Investment Advice

Insurance:

- Life Viaticals Wealth Creation Other: _____

Legal Services:

- Estate Planning Living Trust Will Other: _____

Accounting:

- Tax Preparation Other: _____

Contractor Services:

- Home Repair Remodeling Other: _____

Real Estate:

- Equity Loan Escrow/Title Mortgage Refinance
 Mortgage Modification Reverse Mortgage
 Investment (specify): _____

Check Before You Invest continued...

I am currently licensed by:

- CA Department of Financial Protection and Innovation License #: _____
- CA Department of Insurance License #: _____
- CA Department of Real Estate License #: _____
- CA State Bar Association #: _____
- CA Board of Accountancy CPA License #: _____
- CA Contractors State License Board License #: _____
- Other License #: _____

I certify that I am making these representations truthfully in order to do business with you.

Signature: _____ Date: _____

