### Department of Financial Protection and Innovation Privacy Policy

### THE DFPI PROTECTS YOUR PRIVACY

The Department of Financial Protection and Innovation (DFPI) is committed to protecting the privacy of your personal information. DFPI's information management practices conform to the requirements of the Information Practices Act (Civil Code section 1798 et seq.), the Public Records Act (Government Code section 7920.000 et seq.), and all other applicable laws pertaining to information privacy. DFPI's limits the collection of personal information and safeguards the privacy of personal information it collects or maintains.

# DFPI collects personal information on individuals only as allowed by law.

DFPI only collects personal information which is relevant and necessary to perform its duties. Personal information is defined in the Information Practices Act (Civil Code section 1798 et seq.) as information that identifies or describes an individual including, but not limited to, name, Social Security number, physical description, home address, home telephone number, education, financial matters, and medical or employment history. When DFPI requests personal information, we specify what it will be used for and the legal authority for requesting it. DFPI also specifies why it is requesting the personal information, before or at the time it requests it. The purpose of the request is specified in a privacy notice included on the form used to collect the personal information.

## DFPI does not collect personal information of people who browse our website.

DFPI only collects personal information about you through our website if you provide it voluntarily through e-mail or forms.

#### DFPI collects cookies from website browsing.

When you visit a website, a small file called a cookie can be sent to your computer. This cookie collects information about how you browse the site. Cookies are used to identify and customize webpages for you and monitor how you use the website so we can offer improvements based on your needs.

Cookies do not contain personal or confidential information. However, cookies may contain "electronically collected personal information" as defined by Government Code Section 11015.5. The "electronically collected personal information" that we automatically collect includes your domain name or Internet Protocol address, and statistical information about which web pages you visit. If you voluntarily participate in an activity that asks for specific information (i.e., completing a request for assistance, sending an e-mail, or participating in a survey), more detailed data will be collected. If you choose not to participate in these activities, your choice will in no way affect your ability to use any other feature of the website.

Using our site requires you to allow per-session cookies. Session cookies are stored in the memory of your computer, only available during an active browser session, and erased as soon as you close your Web browser. If you do not enable cookies, some functions of our site may not work properly.

#### DFPI protects your personal information.

DFPI takes precautions to safeguard your personal information collected through the Internet against loss, or unauthorized use, modification or disclosure. These precautions include in-transit encryption of the information you provide and limiting access to your information to DFPI employees who have a business need to use it. DFPI employees receive annual training on the importance of protecting your privacy and personal information. Confidential information is destroyed according to DFPI's records retention schedule.

DFPI will not disclose, make available, or otherwise use your personal information for purposes other than those specified unless you consent, or

such disclosure is authorized by law or mandated by law or regulation, is requested by other state or federal regulatory or law enforcement agencies, or is necessary to report an actual or suspected violation of law to an appropriate regulatory or law enforcement agency.

#### You have access to personal information you provide DFPI.

You have the right to review any personal information DFPI maintains about you and request correction of errors or inaccuracies. Please contact the Privacy Officer (contact information below) to see records containing personal information about you, or request corrections to these records. Your request should include as much information as possible to help us identify your records. If you are legally entitled to inspect these records, we will provide access within 30 days of receiving your request.

#### We may change our privacy policy.

When changes are made, we will promptly post those changes and implement them 30 days from that posting date.

#### You can ask DFPI questions or express concerns.

If you have questions or concerns about DFPI's privacy policy, contact our Privacy Officer.

#### Mail

Department of Financial Protection and Innovation Attn: Privacy Officer 2101 Arena Boulevard Sacramento, CA 95834

#### Email Privacy@dfpi.ca.gov

#### Phone

(279) 236-5406