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SUPERIOR COURT OF CALIFORNIA  
SACRAMENTO COUNTY, CALIFORNIA

JAMES MYERS ESLER, an individual,

Plaintiff,

vs.

CALIFORNIA DEPARTMENT OF  
FINANCIAL PROTECTION AND  
INNOVATION,

Defendant.

Case No. 24CV021013

**ORDER GRANTING JOINT REQUEST  
FOR EXPUNGEMENT AND STIPULATED  
DISMISSAL**

This Court having considered the Parties' Joint Stipulation and Request for Entry of Order of Expungement and Dismissal Joint and good cause appearing therefore, the Court orders as follows:

1. The records identified in the Stipulation and relating to Financial Industry Regulatory Authority (FINRA) Occurrence Number 2018807 shall be expunged from the following FINRA

databases; Central Registration Depository (CRD), Independent Adviser Public Disclosure (IAPD), Investment Adviser Registration Depository (IARD), and BrokerCheck.

2. This action shall be dismissed with prejudice pursuant to California Code of Civil Procedure section 581.

3. Each Party shall bear its own attorneys' fees, costs, and expenses in connection with this action.

IT IS SO ORDERED

Dated this 5th day of December 2024

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Sacramento County Superior Court Judge  
Christopher E. Krueger, Judge