

CCFPL COMMERCIAL FINANCING ANNUAL REPORT FOR THE CALENDAR YEAR [REPORT YEAR]

ANNUAL REPORT INSTRUCTIONS

PLEASE READ THESE INSTRUCTIONS CAREFULLY BEFORE COMPLETING

WHO MUST FILE THE REPORT

Any person engaged in the business of offering or providing commercial financing or another financial product or service to a small business, nonprofit, or family farm, as defined by California Code of Regulations, Title 10, sections 1060(f), (h), and (i), whose activities are principally directed or managed from California shall file an annual report with the Commissioner pursuant to the authority under the California Consumer Financial Protection Law (CCFPL). THIS REPORT IS REQUIRED BY CALIFORNIA CODE OF REGULATIONS, TITLE 10, SECTION 1062(c).

A “covered provider” is exempt from filing this report if any of the following apply:

- The covered provider made no more than one commercial financing transaction to covered entities in a 12-month period.
- The covered provider made five or fewer commercial financing transactions to covered entities in a 12-month period that are incidental to the business of the covered provider relying on this exemption.

“Covered Provider” means any person engaged in the business of offering or providing commercial financing or another financial product or service to a “covered entity”, unless exempted under Financial Code section 90002.

“Covered Entity” means a small business, nonprofit, or family farm whose activities are principally directed or managed from California.

There is no fee to file the annual report.

PERIOD COVERED BY THE REPORT /DUE DATE

The report is due on or before March 15, and the reporting period is the preceding calendar year. No extension of the filing date will be granted.

PENALTY FOR FAILURE TO FILE THE REPORT

Failure to file the Annual Report by the due date is a violation of the CCFPL and may result in penalties pursuant to Financial Code section 90012(c)(1).

REQUIREMENT FOR THE REPORT

California Code of Regulations, Title 10, section 1062(c), specifies the information covered providers offering or providing commercial financing must report annually to the Commissioner. Annual Reports are subject to public inspection under the California Public Records Act.

“Commercial financing” means an accounts receivable purchase transaction, including factoring, asset-based lending transaction, commercial loan, commercial open-end credit plan, or lease financing transaction intended by the recipient for use primarily for other than personal, family, or household purposes.

A covered provider who is licensed under the California Financing Law (CFL) shall not include in this report commercial financing activity conducted under the authority of that license.

THE REPORT FORM

The report must be submitted on this form and all fields must be completed. If a category is not applicable, enter “0.” All items requesting dollar amounts should be rounded to the nearest dollar. Do not add “.00” to represent rounding to the nearest dollar. Do not round items to the nearest thousand or million dollars. Do not round intermediate calculations.

Covered providers must electronically file the annual report through the Department of Financial Protection and Innovation's (DFPI) website via the Self-Service portal. To sign in or register for a portal account, go to DFPI's [webpage](#).

COMPANIES WITH MULTIPLE BUSINESS LOCATIONS

Covered providers conducting business at more than one (1) business location must prepare and submit one report consolidating information from all locations.

VERIFICATION

The annual report must be verified and submitted by an authorized officer of the covered provider.

OTHER ANNUAL REPORT REQUIREMENTS

- The dollar amount of a commercial financing transaction is the "amount financed" as defined in California Code of Regulations, Title 10, section 900(a)(1).
- For any type of commercial financing transaction in this report, if the covered provider did not provide any disclosures required to comply with California Code of Regulations, Title 10, section 920(a), the covered provider shall indicate so and is not required to calculate or report the information requested regarding the minimum, maximum, average, and median Annual Percentage Rate (APR) for each specified interval.
- The "Average APR" of transactions is determined by totaling the APR disclosed on disclosures for all transactions within the specified interval made during the calendar year and dividing by the total number of transactions within that specified interval made within the calendar year.
- If the type of commercial financing activity does not meet the definition of any of the categories specified in this report, please enter in the "Other Transaction Information" section.
- ALL QUESTIONS MUST BE ANSWERED. If you have nothing to report for any question, please enter zero ("0").
- Any changes to the "Designated Email" filed with DFPI must be made through the DOCQNET Self-Service Portal.
- To help you compile the information required in this report, you may wish to print a copy of the form.

QUESTIONS REGARDING THIS REPORT

If you have any questions or need technical assistance with this report, please email the Supervision and Registration of New Covered Persons program at CCFPL.Inquiries@dfpi.ca.gov or call 1-866-275-2677.

**CCFPL COMMERCIAL FINANCING ANNUAL REPORT
FOR THE CALENDAR YEAR [REPORT YEAR]**

ANNUAL REPORT

For covered providers offering commercial financing to covered entities
January 1 – December 31

COVERED PROVIDER IDENTIFYING AND CONTACT INFORMATION

Covered Provider's Legal Name	<input type="text"/>
DBA / Fictitious Business Name	<input type="text"/>
Mailing Address	<input type="text"/>
Street	<input type="text"/>
City	<input type="text"/>
State	<input type="text"/>
Zip	<input type="text"/>
Designated E-mail filed with DFPI	<input type="text"/>
Business Phone Number (###-##-####)	<input type="text"/>
Website Address	<input type="text"/>
Name of Designated Contact Person	<input type="text"/>

TYPE OF ORGANIZATION

- Corporation
- Limited Liability Company (LLC)
- Partnership
- Individual/Sole Proprietor
- Other (Please Describe)

CLOSED-END TRANSACTION INFORMATION

“Closed-end transaction” means a transaction in which credit is extended only once over a specific term (including contracts that include an option in which the recipient may extend the term), and is repaid:

- A. In regular predetermined payments of a specified amount over a fixed period of time; or
- B. In the case of sales-based financing, in payments calculated as a percentage of sales or income, but with a minimum **required** payment or payments such that the recipient is eventually **required** to repay the amount advanced regardless of the sales or income the recipient collects.

Were any closed-end commercial financing transactions made in [report year]?

- Yes
- No

- 1. Total number of closed-end commercial financing transactions made with covered entities:
- 2. Total amount financed of closed-end commercial financing transactions made with covered entities: \$
- 3. Total number of closed-end commercial financing transactions with amount financed of \$10,000 or less:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,000 or less:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

- 4. Total number of closed-end commercial financing transactions with amount financed of \$10,001 to \$25,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,001 to \$25,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

5. Total number of closed-end commercial financing transactions with amount financed of \$25,001 to \$50,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$25,001 or \$50,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

6. Total number of closed-end commercial financing transactions with amount financed of \$50,001 to \$100,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$50,001 or \$100,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

7. Total number of closed-end commercial financing transactions with amount financed of \$100,001 to \$250,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$100,001 or \$250,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

8. Total number of closed-end commercial financing transactions with amount financed of \$250,001 to \$500,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$250,001 or \$500,000:

Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %

SALES-BASED FINANCING TRANSACTION INFORMATION

“Sales-based financing” means a commercial financing transaction that is repaid by a recipient to the financier as a percentage of sales or income, in which the payment amount increases and decreases according to the volume of sales made or income received by the recipient. Sales-based financing also includes commercial financing transactions with a true-up mechanism.

Were any sales-based commercial financing transactions made in [report year]?

- Yes
- No

9. Total number of sales-based commercial financing transactions made with covered entities:
10. Total amount financed of sales-based commercial financing transactions made with covered entities: \$
11. Total number of sales-based commercial financing transactions with amount financed of \$10,000 or less:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,000 or less:

Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %

12. Total number of sales-based commercial financing transactions with amount financed of \$10,001 to \$25,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,001 to \$25,000:

Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %

13. Total number of sales-based commercial financing transactions with amount financed of \$25,001 to \$50,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$25,001 or \$50,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

14. Total number of sales-based commercial financing transactions with amount financed of \$50,001 to \$100,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$50,001 or \$100,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

15. Total number of sales-based commercial financing transactions with amount financed of \$100,001 to \$250,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$100,001 or \$250,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

16. Total number of sales-based commercial financing transactions with amount financed of \$250,001 to \$500,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$250,001 or \$500,000:

Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %

COMMERCIAL OPEN-END CREDIT PLAN TRANSACTION INFORMATION

“Commercial open-end credit plan” means a provider’s plan for making open-end loans pursuant to a loan agreement that sets forth the terms and conditions governing the use of the open-end credit program, and provides that:

1. The recipient may use the open-end credit program to obtain money, goods, labor, or services or credit, and the provider makes open-end loans to the recipient for the purpose of paying money to, or at the direction of, the recipient or paying obligations that the recipient creates through use of the open-end credit program.
2. The amount of each advance and the charges and other permitted costs are debited to an account.
3. The charges are computed from time to time on the unpaid balances of the recipient’s account, excluding from the computation any unpaid charges other than permitted fees, costs, and expenses.
4. The recipient has the privilege of paying the account in full at any time.

Were any commercial open-end credit plan transactions made in [report year]?

- Yes
- No

17. Total number of open-end credit commercial financing transactions made with covered entities:
18. Total amount financed of open-end credit commercial financing transactions made with covered entities: \$
19. Total number of open-end credit commercial financing transactions with amount financed of \$10,000 or less:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,000 or less:

Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %

20. Total number of open-end credit commercial financing transactions with amount financed of \$10,001 to \$25,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,001 to \$25,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

21. Total number of open-end credit commercial financing transactions with amount financed of \$25,001 to \$50,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$25,001 or \$50,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

22. Total number of open-end credit commercial financing transactions with amount financed of \$50,001 to \$100,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$50,001 or \$100,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

23. Total number of open-end credit commercial financing transactions with amount financed of \$100,001 to \$250,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$100,001 or \$250,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures

 %

Median APR disclosed in disclosures

 %

24. Total number of open-end credit commercial financing transactions with amount financed of \$250,001 to \$500,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$250,001 or \$500,000:

Minimum APR disclosed in disclosures (lowest APR)

 %

Maximum APR disclosed in disclosures (highest APR)

 %

Average APR disclosed in disclosures

 %

Median APR disclosed in disclosures

 %

FACTORING TRANSACTION INFORMATION

“Factoring” means an accounts receivable purchase transaction that includes an agreement to purchase, transfer, or sell a legally enforceable claim for payment held by a recipient for goods the recipient has supplied or services the recipient has rendered that have been ordered but for which payment has not yet been made.

Were any factoring commercial financing transactions made in [report year]?

- Yes
- No

25. Total number of factoring commercial financing transactions made with covered entities:

26. Total amount financed of factoring commercial financing transactions made with covered entities:

\$

27. Total number of factoring commercial financing transactions with amount financed of \$10,000 or less:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,000 or less:

Minimum APR disclosed in disclosures (lowest APR)

 %

Maximum APR disclosed in disclosures (highest APR)

 %

Average APR disclosed in disclosures

 %

Median APR disclosed in disclosures

 %

28. Total number of factoring commercial financing transactions with amount financed of \$10,001 to \$25,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,001 to \$25,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

29. Total number of factoring commercial financing transactions with amount financed of \$25,001 to \$50,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$25,001 or \$50,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

30. Total number of factoring commercial financing transactions with amount financed of \$50,001 to \$100,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$50,001 or \$100,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

31. Total number of factoring commercial financing transactions with amount financed of \$100,001 to \$250,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$100,001 or \$250,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

32. Total number of factoring commercial financing transactions with amount financed of \$250,001 to \$500,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$250,001 or \$500,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

LEASE TRANSACTION INFORMATION

“Lease financing” means providing a lease for goods if the lease includes a purchase option that creates a security interest in the goods leased, as defined in paragraph (35) of subdivision (b) of section 1201 and section 1203 of the Commercial Code.

Were any lease commercial financing transactions made in [report year]?

- Yes
- No

33. Total number of lease commercial financing transactions made with covered entities:

34. Total amount financed of lease commercial financing transactions made with covered entities: \$

35. Total number of lease commercial financing transactions with amount financed of \$10,000 or less:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,000 or less:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

36. Total number of lease commercial financing transactions with amount financed of \$10,001 to \$25,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,001 to \$25,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

37. Total number of lease commercial financing transactions with amount financed of \$25,001 to \$50,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$25,001 or \$50,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

38. Total number of lease commercial financing transactions with amount financed of \$50,001 to \$100,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$50,001 or \$100,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

39. Total number of lease commercial financing transactions with amount financed of \$100,001 to \$250,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$100,001 or \$250,000:

Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %
40. Total number of lease commercial financing transactions with amount financed of \$250,001 to \$500,000:	<input type="text"/>
For these transactions, did you provide required disclosures that disclosed the APR?	
<input type="radio"/> Yes <input type="radio"/> No	
If you answered yes, please answer each of the following questions for transactions with amount financed of \$250,001 or \$500,000:	
Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %

ASSET-BASED LENDING TRANSACTION INFORMATION

“Asset-based lending” means a transaction in which advances are made from time to time contingent on a recipient forwarding payments received from one or more third parties for goods the recipient has supplied or services the recipient has rendered to that third party or parties.

Were any asset-based lending commercial financing transactions made in [report year]?

Yes
 No

41. Total number of asset-based lending commercial financing transactions made with covered entities:	<input type="text"/>
42. Total amount financed of asset-based lending commercial financing transactions made with covered entities: \$	<input type="text"/>
43. Total number of asset-based lending commercial financing transactions with amount financed of \$10,000 or less:	<input type="text"/>
For these transactions, did you provide required disclosures that disclosed the APR?	
<input type="radio"/> Yes <input type="radio"/> No	
If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,000 or less:	
Minimum APR disclosed in disclosures (lowest APR)	<input type="text"/> %
Maximum APR disclosed in disclosures (highest APR)	<input type="text"/> %
Average APR disclosed in disclosures	<input type="text"/> %
Median APR disclosed in disclosures	<input type="text"/> %

44. Total number of asset-based lending commercial financing transactions with amount financed of \$10,001 to \$25,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,001 to \$25,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

45. Total number of asset-based lending commercial financing transactions with amount financed of \$25,001 to \$50,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$25,001 or \$50,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

46. Total number of asset-based lending commercial financing transactions with amount financed of \$50,001 to \$100,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$50,001 or \$100,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

47. Total number of asset-based lending commercial financing transactions with amount financed of \$100,001 to \$250,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$100,001 or \$250,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

48. Total number of asset-based lending commercial financing transactions with amount financed of \$250,001 to \$500,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$250,001 or \$500,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

OTHER TRANSACTION INFORMATION

If you offer or provide any other type of commercial financing activity that does not meet the definition of any of the categories specified in this report, please complete this section for each other type of commercial financing transaction.

Were any other commercial financing transactions made in [report year]?

- Yes
- No

49. Please specify the other type of commercial financing transaction:

50. Total number of other commercial financing transactions made with covered entities:

51. Total amount financed of other commercial financing transactions made with covered entities: \$

52. Total number of other commercial financing transactions with amount financed of \$10,000 or less:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,000 or less:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

53. Total number of other commercial financing transactions with amount financed of \$10,001 to \$25,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$10,001 to \$25,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

54. Total number of other commercial financing transactions with amount financed of \$25,001 to \$50,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$25,001 or \$50,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

Median APR disclosed in disclosures %

55. Total number of other commercial financing transactions with amount financed of \$50,001 to \$100,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$50,001 or \$100,000:

Minimum APR disclosed in disclosures (lowest APR) %

Maximum APR disclosed in disclosures (highest APR) %

Average APR disclosed in disclosures %

%

Median APR disclosed in disclosures

56. Total number of other commercial financing transactions with amount financed of \$100,001 to \$250,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$100,001 or \$250,000:

Minimum APR disclosed in disclosures (lowest APR)

 %

Maximum APR disclosed in disclosures (highest APR)

 %

Average APR disclosed in disclosures

 %

Median APR disclosed in disclosures

 %

57. Total number of other commercial financing transactions with amount financed of \$250,001 to \$500,000:

For these transactions, did you provide required disclosures that disclosed the APR?

- Yes
- No

If you answered yes, please answer each of the following questions for transactions with amount financed of \$250,001 or \$500,000:

Minimum APR disclosed in disclosures (lowest APR)

 %

Maximum APR disclosed in disclosures (highest APR)

 %

Average APR disclosed in disclosures

 %

Median APR disclosed in disclosures

 %

Other Type	Total # of other trans. made	Total amount financed	Delete
Type Name	#	\$0.00	X

ANNUAL REPORT VERIFICATION

I, the undersigned, state that I am an authorized officer of the covered provider listed below and that I have read and signed the report filed herewith and knowing the contents thereof. I certify that this annual report is true and exact to the best of my knowledge.

Covered Provider's Legal Name

Date (mm/dd/yyyy):

Name of Authorized Officer/Declarant:

Title of Authorized Officer/Declarant:

Phone Number (###-###-####):

Phone Number Extension (if applicable):

E-mail Address: