

1 MARY ANN SMITH
2 Deputy Commissioner
3 Attorney for Complainant

4
5 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
6 OF THE STATE OF CALIFORNIA

7 In the Matter of:

8 THE COMMISSIONER OF FINANCIAL
9 PROTECTION AND INNOVATION,

10 Complainant,

11 v.

12 CONCORDIA INVESTMENT ADVISORS,
13 LLC and FREDRICK VOIGTMANN,

14 Respondents.

15 CRD Nos.: 291775 and 6891015

16 ORDER TO DISCONTINUE VIOLATIONS

17 (Cal. Corp. Code § 25249)

18
19 TO: Concordia Investment Advisors, LLC and Fredrick Voigtmann
20 21700 Oxnard Street, Suite 730
21 Woodland Hills, CA 91367

22
23 The Commissioner of Financial Protection and Innovation (Commissioner) is authorized to
24 administer and enforce the provisions of the Corporate Securities Law of 1968 (CSL)¹ and the
25 regulations promulgated thereunder², pursuant to the authority granted in Financial Code section
26 326(a).

27
28 The Commissioner finds that Concordia Investment Advisors, LLC (CIA) (Central
29 Registration Depository No. 291775)³ an investment adviser licensed by the Commissioner since
30 January 23, 2018, and Fredrick Voigtmann (CRD No. 6891015), the sole owner and investment
31 adviser representative of CIA, violated the following section of the CSL.

32 Pursuant to section 25236(a) and 10 C.C.R. 260.236.2(b) and (g), every licensed investment

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34
35 ¹ See Cal. Corp. Code §§ 25000-25707. Unless stated otherwise, all section references are to the California Corporations
36 Code.

37
38 ² See Cal. Code Regs. tit. 10, §§ 260.000-260.617. All further references will employ the format of 10 C.C.R. [section #].

39
40 ³ The Central Registration Depository (CRD) and Investment Adviser Registration Depository (IARD) are databases
41 maintained by the Financial Industry Regulatory Authority (FINRA) since 2007. They are used to store and maintain
42 information on registered securities and broker firms, as well as individuals who dispense investing and financial advice.

1 adviser is required to have its investment adviser representatives complete and report the requisite
2 continuing education credits for each reporting period (IARCE).

3 On or about April 9, 2025, July 1, 2025, August 7, 2025, September 30, 2025, October 13,
4 2025, October 21, 2025, October 27, 2025, October 29, 2025, November 3, 2025, November 7, 2025,
5 November 17, 2025, November 24, 2025, December 8, 2025, and December 15, 2025, the
6 Commissioner notified CIA, by electronic mail, that IARCE was overdue. Additionally, on or about
7 December 5, 2024, and December 3, 2025, the Commissioner notified CIA, by telephone calls, that
8 IARCE was overdue. As of the date of this order, the IARCE has still not been reported, in violation
9 of section 25236(a) and 10 C.C.R. 260.236.2(b) and (g).

10 NOW, BASED UPON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby
11 ORDERED, under the provisions of section 25249, that Concordia Investment Advisors, LLC and
12 Fredrick Voigtmann discontinue the violations of section 25236(a) and 10 C.C.R. 260.236.2(b) and
13 (g). The Commissioner finds this action is appropriate, in the public interest, necessary for the
14 protection of investors, and consistent with the purposes fairly intended by the CSL.

15 Dated: January 21, 2026

16 KHALIL MOHSENI
17 Commissioner of Financial Protection and Innovation

18 By: _____
19 JERRY TWOMEY
20 Deputy Commissioner
21 Broker-Dealer Investment Adviser Division

