

1 MARY ANN SMITH
2 Deputy Commissioner
3 Attorney for Complainant

4
5 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
6 OF THE STATE OF CALIFORNIA

7 In the Matter of:

8 CRD Nos.: 109146 and 4376325

9 THE COMMISSIONER OF FINANCIAL
10 PROTECTION AND INNOVATION,

11 ORDER TO DISCONTINUE VIOLATIONS

12 Complainant,

13 (Cal. Corp. Code § 25249)

14 v.

15 WOODMONT REALTY ADVISORS INC and
16 RONALD GRANVILLE,

17 Respondents.

18
19 TO: Woodmont Realty Advisors Inc and Ronald Granville
20 1050 Ralston Ave.
21 Belmont, CA 94002

22 The Commissioner of Financial Protection and Innovation (Commissioner) is authorized to
23 administer and enforce the provisions of the Corporate Securities Law of 1968 (CSL)¹ and the
24 regulations promulgated thereunder², pursuant to the authority granted in Financial Code section
25 326(a).

26 The Commissioner finds that Woodmont Realty Advisors Inc (WRA) (Central Registration
27 Depository No. 109146)³ an investment adviser licensed by the Commissioner since October 2,
28 2012, and Ronald Granville (CRD No. 4376325), the sole owner and investment adviser
representative of WRA, violated the following section of the CSL.

29 Pursuant to section 25236(a) and 10 C.C.R. 260.236.2(b) and (g), every licensed investment
30 adviser is required to have its investment adviser representatives complete and report the requisite

31
32 ¹ See Cal. Corp. Code §§ 25000-25707. Unless stated otherwise, all section references are to the California Corporations
33 Code.

34
35 ² See Cal. Code Regs. tit. 10, §§ 260.000-260.617. All further references will employ the format of 10 C.C.R. [section #].

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37 ³ The Central Registration Depository (CRD) and Investment Adviser Registration Depository (IARD) are databases
38 maintained by the Financial Industry Regulatory Authority (FINRA) since 2007. They are used to store and maintain
information on registered securities and broker firms, as well as individuals who dispense investing and financial advice.

1 continuing education credits for each reporting period (IARCE).

2 On or about April 9, 2025, July 1, 2025, August 7, 2025, September 30, 2025, October 13,
3 2025, October 21, 2025, October 27, 2025, October 29, 2025, November 3, 2025, November 7, 2025,
4 November 17, 2025, November 24, 2025, December 8, 2025, and December 15, 2025, the
5 Commissioner notified WRA, by electronic mail, that IARCE was overdue. Additionally, on or about
6 December 5, 2024, and December 3, 2025, the Commissioner notified WRA, by telephone calls, that
7 IARCE was overdue. As of the date of this order, the IARCE has still not been reported, in violation
8 of section 25236(a) and 10 C.C.R. 260.236.2(b) and (g).

9 NOW, BASED UPON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby
10 ORDERED, under the provisions of section 25249, that Woodmont Realty Advisors Inc and Ronald
11 Granville discontinue the violations of section 25236(a) and 10 C.C.R. 260.236.2(b) and (g). The
12 Commissioner finds this action is appropriate, in the public interest, necessary for the protection of
13 investors, and consistent with the purposes fairly intended by the CSL.

14 Dated: January 21, 2026

KHALIL MOHSENI

Commissioner of Financial Protection and Innovation



16 By: _____
17 JERRY TWOMEY
18 Deputy Commissioner
19 Broker-Dealer Investment Adviser Division