

1 PRESTON DuFAUCHARD
California Corporations Commissioner
2 WAYNE STRUMPFER
Deputy Commissioner
3 ALAN S. WEINGER (CA BAR NO. 86717)
Lead Corporations Counsel
4 JUDY L. HARTLEY (CA BAR NO. 110628)
Senior Corporations Counsel
5 Department of Corporations
320 West 4th Street, Ste. 750
6 Los Angeles, California 90013-2344
Telephone: (213) 576-7604 Fax: (213) 576-7181

7 Attorneys for Complainant
8

9 BEFORE THE DEPARTMENT OF CORPORATIONS
10 OF THE STATE OF CALIFORNIA
11

12 In the Matter of the Petition for Reinstatement) Case No.: 963-1106
of:)
13) ORDER DENYING PETITION FOR
14 JUDIE SMITH AKA JUDITH CAROLYN) REINSTATEMENT
SMITH)
15)
16)
17)
18)
19)

20 TO: EDMUND G. BROWN, JR.
21 ATTORNEY GENERAL, STATE OF CALIFORNIA
Department of Justice
22 P.O. Box 944255
23 Sacramento, California 94244-2550

24 Judie Smith aka Judith Carolyn Smith
25 P.O. Box 923605
26 Sylmar, California 91392-3605
27
28

1 Petitioner, Judie Smith aka Judith Carolyn Smith, having filed a petition for reinstatement
2 regarding the March 20, 1992 order barring Petitioner from any position of employment,
3 management or control of an escrow agent issued in proceedings brought by the California
4 Corporations entitled In the Matter of the Commissioner of Corporations of the State of California v.
5 Judie Smith aka Judith Carolyn Smith; the petition having been considered, no arguments having
6 been filed by Petitioner despite ample opportunity to do so, this agency finds that:

7 Petitioner is not entitled to reinstatement of employment, management or control of an
8 escrow agent for the following reasons:

9 1. Petitioner has offered no proof of rehabilitation other than her own self-serving
10 statement that the “whole thing made me a better person”. This is insufficient evidence to
11 demonstrate that Petitioner is presently capable of employment, management or control of an escrow
12 company, in particular since Petitioner’s escrow law violations included theft and forgery.

13 2. Petitioner appears to have attempted no educational training, which could have
14 benefited in a rehabilitation process or otherwise demonstrated that she possesses the technical skills
15 or knowledge of the policies, procedures, and controls necessary to competently be employed,
16 manage and/or control an escrow agent in compliance with the Escrow Law.

17 3. Petitioner, despite having filed a Petition for Reinstatement, failed to claim the
18 mailing from the Department of Corporations regarding her Petition (Notice of Petition of
19 Reinstatement setting forth written arguments filing dates, sent certified, return-receipt mail)
20 demonstrating a total lack of responsibility.

21 WHEREFORE, IT IS ORDERED that the Petition be denied.

22 Dated: April 22, 2008
23 Los Angeles, Ca

PESTON DuFAUCHARD
California Corporations Commissioner

24 By _____
25 Alan S. Weinger
26 Lead Corporations Counsel