

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2011

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	4,990,505	679,766	3,659	111	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	105,659	411,309	93	185	5.a.
b. Employee benefit - defined benefit.....	124,894	70,239	17	11	5.b.
c. Other employee benefit and retirement-related accounts.....	435,446	163,256	29,693	345	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	2,743,513	42,393	1,704	16	7.
8. Foundation and endowment trust and agency accounts	178,779	80,997	128	30	8.
9. Other fiduciary accounts .....	6,694	116	9	5	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	8,585,490	1,447,967	35,303	704	10.
11. Custody and safekeeping accounts.....		4,158,947		1,319	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	425,215	340,835	29,690	259	13.

### Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-1,145	-150	20	M.1.a.
b. Interest-bearing deposits.....	96,080	3,808	3,023	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	391,803	26,033	11,837	M.1.c.
d. State, county and municipal obligations.....	1,088,764	3,506	1,672	M.1.d.
e. Money market mutual funds.....	574,017	45,562	9,975	M.1.e.
f. Equity mutual funds.....	707,093	123,388	52,513	M.1.f.
g. Other mutual funds.....	648,415	129,725	35,811	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	96,423			
j. Other notes and bonds.....	410,602	144,024	14,023	M.1.g.
k. Investments in unregistered funds and private equity investments.....	89,755	21	170	
l. Other common and preferred stocks.....	1,827,537	174,998	43,783	M.1.h.
m. Real estate mortgages.....	18,277	860	331	M.1.i.
n. Real estate.....	755,594	155	2,635	M.1.j.
o. Miscellaneous assets.....	1,028,142	14,071	9,672	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	7,731,357	666,001	185,465	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

- a. Corporate and municipal trusteeships.....
- (1) Issues reported in Memoranda 2a. that are in default.....
- b. Transfer agent, registrar, paying agent, and other corporate agency.....

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)
1	

M.2.a.  
M.2.a.1  
M.2.b.

3. Collective investment funds and common trust funds:

- a. Domestic equity.....
- b. International/Global equity.....
- c. Stock/Bond blend.....
- d. Taxable bond.....
- e. Municipal bond.....
- f. Short term investments/Money market.....
- g. Specialty/Other.....
- h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)

M.3.a  
M.3.b  
M.3.c  
M.3.d  
M.3.e  
M.3.f  
M.3.g  
M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

- P.1. Trust business for which securities are on deposit with the State Treasurer.....
- P.2. Less: real estate.....
- P.3. Trust business on which security is required.....
- P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....
- P.5. Market value of securities on deposit with the State Treasurer.....
- P.6. Excess or deficiency; denote negative amount in ( ).....

(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)
267,334	12,956,197
34,530	
232,804	12,956,197
4,100	1,600
8,403	5,955
4,303	4,355

P.1.  
P.2.  
P.3.  
P.4.  
P.5.  
P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....		16,158
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....		1,112
b. Employee benefit-defined benefit.....		270
c. Other employee benefit and retirement-related accounts.....		1,828
16. Corporate trust and agency accounts .....		
17. Investment management and investment advisory agency accounts .....		6,915
18. Foundation and endowment trust and agency accounts.....		544
19. Other fiduciary accounts .....		45
20. Custody and safekeeping accounts .....		2,163
21. Other fiduciary and related services income.....		46
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....		29,081
23. Less: Expenses.....		28,236
24. Less: Net losses from fiduciary and related services.....		148
25. Plus: Intracompany income credits for fiduciary and related services .....		3,496
26. Net fiduciary and related services income .....		4,193

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	137		15
b. Employee benefit and retirement-related trust and agency accounts .....			
c. Investment management and investment advisory..... agency accounts.....	26		
d. Other fiduciary accounts and related services.....	26		
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			