

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2009

|  | (Column A)<br>Managed<br>Assets | (Column B)<br>Non-Managed<br>Assets | (Column C)<br>Number of<br>Managed<br>Accounts | (Column D)<br>Number of<br>Non-Managed<br>Accounts |      |
|--|---------------------------------|-------------------------------------|--|--|------|
|  | (000s)                          | (000s)                              |  |  |      |
| <b>FIDUCIARY AND RELATED ASSETS</b>                          |                                 |                                     |  |  |      |
| 4. Personal trust and agency accounts.....                   | 3,852,416                       | 599,927                             | 4,005  | 138  | 4.   |
| 5. Retirement related trust and agency accounts:             |                                 |                                     |  |  |      |
| a. Employee benefit - defined contribution.....              | 152,937                         | 327,769                             | 175  | 149  | 5.a. |
| b. Employee benefit - defined benefit.....                   | 105,309                         | 61,220                              | 15   | 7  | 5.b. |
| c. Other retirement accounts.....                            | 330,750                         | 1,218,555                           | 1,017  | 14,055   | 5.c. |
| 6. Corporate trust and agency accounts.                      | 0                               | 12                                  | 0  | 1  | 6.   |
| 7. Investment management agency accounts.....                | 2,567,289                       |                                     | 2,285  |  | 7.   |
| 8. Other fiduciary accounts .....                            | 7,215                           | -30                                 | 9  | 9  | 8.   |
| 9. Total fiduciary accounts (sum of items 4 through 8) ..... | 7,015,916                       | 2,207,453                           | 7,506  | 14,359   | 9.   |
| 10. Custody and safekeeping accounts .....                   |                                 | 3,670,497                           |  | 950  | 10.  |
| 11. Not applicable   |                                 |                                     |  |  |      |

### Memoranda

|   | Managed Assets<br>(000s) |        |
|---|--------------------------|--------|
| <b>1. Managed assets held in personal trust and agency accounts:</b>  |                          |        |
| a. Noninterest-bearing deposits .....   | 780                      | M.1.a. |
| b. Interest-bearing deposits.....   | 55,785                   | M.1.b. |
| c. U.S. Treasury and U.S. Government agency obligations.....  | 137,788                  | M.1.c. |
| d. State, county and municipal obligations.....   | 479,361                  | M.1.d. |
| e. Money market mutual funds.....   | 669,042                  | M.1.e. |
| f. Other short-term obligations.....  | 29,010                   | M.1.f. |
| g. Other notes and bonds.....   | 87,683                   | M.1.g. |
| h. Common and preferred stocks.....   | 1,284,519                | M.1.h. |
| i. Real estate mortgages .....  | 46,464                   | M.1.i. |
| j. Real estate .....  | 735,922                  | M.1.j. |
| k. Miscellaneous assets .....   | 326,062                  | M.1.k. |
| l. Total managed assets held in personal trust and agency accounts (sum of Memorandum items 1.a through 1.k) (must equal Schedule T, item 4, column A)..... | 3,852,416                | M.1.l. |

**Schedule T - Fiduciary and Related Services**  
**(State Chartered Banks)**

Memoranda (Continued)

|   | (Column A)<br>Number of<br>Issues | (Column B)<br>Principal Amount<br>Outstanding<br>(000s) |        |
|---|-----------------------------------|---|--------|
| 2. Corporate trust and agency accounts:                                     |                                   |   |        |
| a. Corporate and municipal trusteeships.....                                | 0                                 | 0   | M.2.a. |
| b. Transfer agent, registrar, paying agent, and other corporate agency..... | 1                                 |   | M.2.b. |

|   | (Column A)<br>Number of<br>Funds | (Column B)<br>Market Value of<br>Fund Assets<br>(000s) |        |
|---|----------------------------------|--|--------|
| 3. Collective investment funds and common trust funds:                              |                                  |  |        |
| a. Domestic equity.....   | 0                                | 0  | M.3.a. |
| b. International/Global equity.....   | 0                                | 0  | M.3.b. |
| c. Stock/Bond blend.....  | 0                                | 0  | M.3.c. |
| d. Taxable bond.....  | 0                                | 0  | M.3.d. |
| e. Municipal bond.....  | 0                                | 0  | M.3.e. |
| f. Short term investments/Money market.....   | 0                                | 0  | M.3.f. |
| g. Specialty/Other.....   | 1                                | 2,686  | M.3.g. |
| h. Total collective investment funds (sum of Memorandum items 3.a through 3.g)..... | 1                                | 2,686  | M.3.h. |

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

|   | (Column A)<br>Court Trusts | (Column B)<br>Private Trusts |      |
|---|----------------------------|------------------------------|------|
| P.1. Trust business for which securities are on deposit with the State Treasurer..... | 482,343                    | 10,453,784                   | P.1. |
| P.2. Less: real estate.....   | 89,363                     |                              | P.2. |
| P.3. Trust business on which security is required.....                                | 392,980                    | 10,453,784                   | P.3. |
| P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code..... | 4,650                      | 1,700                        | P.4. |
| P.5. Market value of securities on deposit with the State Treasurer.....              | 9,544                      | 5,489                        | P.5. |
| P.6. Excess or deficiency; denote negative amount in ( )......                        | 4,894                      | 3,789                        | P.6. |