

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2014

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,845,373	205,353	4,000	112	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	120,770	466,499	98	162	5.a.
b. Employee benefit - defined benefit.....	155,818	80,849	18	6	5.b.
c. Other employee benefit and retirement-related accounts.....	468,291	198,812	1,056	233	5.c.
6. Corporate trust and agency accounts.....	22,367	36	2	2	6.
7. Investment management and investment advisory agency accounts.....	3,052,446	13,809	1,798	13	7.
8. Foundation and endowment trust and agency accounts.....	287,520	127,687	148	31	8.
9. Other fiduciary accounts.....	7,701	5,299	10	6	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	10,960,286	1,098,344	7,130	565	10.
11. Custody and safekeeping accounts.....		18,002,231		1,250	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	453,681	75,456	1,048	165	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	5,373	1	60	M.1.a.
b. Interest-bearing deposits.....	128,478	5,735	1,840	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	303,947	17,349	9,144	M.1.c.
d. State, county and municipal obligations.....	1,371,429	5,231	3,879	M.1.d.
e. Money market mutual funds.....	566,717	27,372	45,239	M.1.e.
f. Equity mutual funds.....	1,339,726	238,215	68,663	M.1.f.
g. Other mutual funds.....	1,070,328	171,136	48,328	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	84,146			
j. Other notes and bonds.....	504,582	53,190	32,772	M.1.g.
k. Investments in unregistered funds and private equity investments.....	245,581	4,935	5	
l. Other common and preferred stocks.....	2,426,482	208,535	80,318	M.1.h.
m. Real estate mortgages.....	29,289	8,932		M.1.i.
n. Real estate.....	877,910	984	24,708	M.1.j.
o. Miscellaneous assets.....	943,825	3,268	2,633	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	9,897,813	744,883	317,589	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	349,551	28,677,188	P.1.
P.2. Less: real estate.....	42,371		P.2.
P.3. Trust business on which security is required.....	307,180	28,677,188	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,950	1,400	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	10,882	5,603	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	6,932	4,203	P.6.