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8 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
9 OF THE STATE OF CALIFORNIA

11 In the Matter of:) CFLL LICENSE NO.: 603-J300
12)
13 THE COMMISSIONER OF BUSINESS) ORDER DISMISSING NOTICE OF
OVERSIGHT,) INTENTION TO ISSUE ORDER REVOKING
14) FINANCE LENDER AND/OR BROKER
Complainant,) LICENSE
15)
16 v.)
17)
18 AFH HOLDING AND ADVISORY, LLC,)
Respondent.)

19 The Commissioner of Business Oversight (“Commissioner”) finds that:

20 AFH Holding and Advisory, LLC has submitted to the Commissioner financial statements
21 disclosing that it meets the net worth requirements of California Finance Lender Law.

22 THEREFORE, GOOD CAUSE APPEARING, the Notice of Intention to Issue Order
23 Revoking Finance Lender and/or Broker License and Accusation issued by the Commissioner
24 against AFH Holding and Advisory, LLC issued on October 12, 2016 is hereby dismissed.

25 Dated: November 8, 2016
26 Los Angeles, CA

JAN LYNN OWEN
Commissioner of Business Oversight

27 By _____
28 Mary Ann Smith, Deputy Commissioner
Enforcement Division