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9  
10 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
11 OF THE STATE OF CALIFORNIA

12 In the Matter of: ) CFL LICENSE NO. 603-H755  
13 )  
14 BREAKWATER STRUCTURED GROWTH ) ORDER CONDITIONALLY GRANTING  
OPPORTUNITIES FUND, L.P. ) PETITION FOR REINSTATEMENT  
15 ) (California Government Code Section 11522)  
16 )  
17 )  
18 )  
19 )  
20 )

21 TO: Chief Financial Officer  
22 Harry Chung  
23 Breakwater Structured Growth Opportunities Fund, L.P.  
24 1999 Avenue of the Stars, Suite # 3430  
Los Angeles, CA 90067

25 KAMALA D. HARRIS  
26 ATTORNEY GENERAL, STATE OF CALIFORNIA  
27 Department of Justice  
28 P.O. Box 944255  
Sacramento, California 94244-2550

1           Petitioner, BREAKWATER STRUCTURED GROWTH OPPORTUNITIES FUND, L.P.  
2 (“Breakwater”) having filed a petition for reinstatement of its California Finance Lenders Law  
3 license, which was revoked by the Commissioner of Business Oversight (“Commissioner”) on July  
4 29, 2014, the petition having been considered, no further argument submitted, and good cause  
5 appearing,

6           IT IS ORDERED that, subject to the Commissioner’s satisfactory review, the Petition for  
7 Reinstatement is granted provided that the following conditions are met by Breakwater within thirty  
8 days (30) of the date hereof:

9           Payment of penalties in the amount of \$17,500.00 to the Department of Business Oversight  
10 (“Department”) for the following:

- 11           1)     \$2,500.00 for failure to file an annual report in violation of Financial Code section  
12                   22159;
- 13           2)     \$2,500.00 for failure to notify the Department of a name change in violation of  
14                   Financial Code section 22155; and
- 15           3)     \$12,500.00 for engaging in 5 loan transactions without a license in violation of  
16                   Financial Code section 22100.

17           The penalties shall be paid to the “Department of Business Oversight”, attention to: Johnny  
18 Vuong, Enforcement Division, Department of Business Oversight, 320 W. 4<sup>th</sup> St., # 750, Los  
19 Angeles, CA 90013.

20  
21 Dated: October 21, 2016  
22 Los Angeles, California

JAN LYNN OWEN  
Commissioner of Business Oversight

23  
24 By \_\_\_\_\_  
25 Mary Ann Smith  
26 Deputy Commissioner  
27 Enforcement Division  
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