

1 MARY ANN SMITH
Deputy Commissioner
2 SEAN ROONEY
Assistant Chief Counsel
3 JOHNNY VUONG (State Bar No. 249570)
Senior Counsel
4 Department of Business Oversight
5 320 W. 4th Street, Suite 750
6 Los Angeles, California 90013
7 Telephone: (213) 576-7585
8 Facsimile: (213) 576-7181
Attorneys for Complainant

9
10 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
11 OF THE STATE OF CALIFORNIA

12 In the Matter of:) CFL LICENSE NO. 603-H755
13)
14 BREAKWATER STRUCTURED GROWTH) ORDER (1) GRANTING PETITION FOR
15 OPPORTUNITIES FUND, L.P.) REINSTATEMENT AND (2) REINSTATING
16) CALIFORNIA FINANCE LENDERS LICENSE
17) (California Government Code Section 11522)
18)
19)
20)

21 TO: Chief Financial Officer
22 Harry Chung
23 Breakwater Structured Growth Opportunities Fund, L.P.
24 1999 Avenue of the Stars, Suite # 3430
Los Angeles, CA 90067

25 KAMALA D. HARRIS
26 ATTORNEY GENERAL, STATE OF CALIFORNIA
27 Department of Justice
28 P.O. Box 944255
Sacramento, California 94244-2550

1 Petitioner, Breakwater Structured Growth Opportunities Fund, L.P, having filed a petition on
2 April 26, 2016, requesting reinstatement of Petitioner’s California Finance Lenders Law License
3 pursuant to Government Code section 11522; further written arguments having been timely filed with
4 the agency by Petitioner; the petition and written arguments having been considered; and, the
5 requirements for reinstatement having been met, this agency finds that:

- 6 1. Petitioner has complied with all the conditions for licensure ordered by the Commissioner and
7 set forth in the California Finance Lenders Law; and,
- 8 2. The agency is not aware of any information that would otherwise disqualify Petitioner from
9 reinstatement of its California Finance Lenders license pursuant to the Government Code
10 section 11522.

11 THEREFORE, GOOD CAUSE APPEARING, the California Finance Lenders Law license of
12 Breakwater Structured Growth Opportunities Fund, L.P, license no. 603-H755, is hereby reinstated
13 effective as of the date of this order.

14
15 Dated: November 14, 2016
16 Los Angeles, California

JAN LYNN OWEN
Commissioner of Business Oversight

17
18 By _____
19 Mary Ann Smith
20 Deputy Commissioner
21 Enforcement Division
22
23
24
25
26
27
28