WAYNE STRUMPFER
Acting California Corporations Commissioner
ALAN S. WEINGER (CA BAR NO. 86717)
Acting Deputy Commissioner
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Attorneys for Complainant

BEFORE THE DEPARTMENT OF CORPORATIONS

OF THE STATE OF CALIFORNIA

In the Matter of	) CASE NO.
	)
THE CALIFORNIA CORPORATIONS	) FILE NO. 923-5067
COMMISSIONER,	)
	) **ORDER BARRING ROBERT L. CARVER**
	) **FROM ANY POSITION OF**
Complainant,	) **EMPLOYMENT, MANAGEMENT OR**
	) **CONTROL OF ANY INVESTMENT**
v.	) **ADVISER, BROKER-DEALER OR**
	) **COMMODITY ADVISER PURSUANT TO**
BROOKSTONE CAPITAL, INC.,	) **CORPORATIONS CODE SECTION 25232.1**
	)
Respondent.	)
	)
	)
	)
	)
	)

TO: Robert L. Carver
Brookstone Capital, Inc.
695 Town Center Drive, Ste. 850
Costa Mesa, CA 92626

1. On August 11, 2005 the Department of Corporations for the State of California

("Department") brought an action to bar Robert L. Carver from any position of employment,

management or control of any investment adviser, broker-dealer, and commodity adviser pursuant to

Corporations Code section 25232.1. The current business address of Robert L. Carver is Brookstone

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1 Capital, Inc., 695 Town Center Drive, Ste. 850, Costa Mesa, CA 92626. Robert L. Carver is noted

2 to be the founder, CEO and sole owner, manager and control person of Brookstone Capital, Inc.

3   2.   On July 22, 1994 in the Los Angeles County Superior Court Robert L. Carver pled

4 guilty to one felony count of making/passing fictitious checks, and on August 4, 1994 in the

5 Riverside County Superior/Municipal Court Mr. Carver pled nolo contendere to two felony counts of

6 grand theft. For the former conviction he was sentenced to 98 days in the county jail and five years

7 probation and for the later conviction he was sentenced to 180 days in county jail and five years

8 probation as well.

9   3.   On October 20, 2004, Brookstone Capital, Inc. filed an application for an investment

10 adviser certificate with the Department and in its application Brookstone Capital, Inc. stated that

11 Robert L. Carver had never been found by any state agency to have violated any investment related

12 regulation or statute, and yet on March 21, 1996 the State of California Department of Corporations

13 issued a Desist and Refrain Order to Robert L. Carver, among others, regarding the offer and sale of

14 unqualified securities.

15   4.   Robert L. Carver warrants a bar from any position of employment, management or

16 control of any investment adviser, broker-dealer or commodity adviser pursuant to Corporations

17 Code section 25232.1, for acts committed as specified in Corporations Code section 25232,

18 subdivision (b), specifically past felony convictions, and subdivision (a), specifically for failure to

19 disclose the issuance of a prior Desist and Refrain Order. Robert L. Carver is the founder, CEO and

20 sole owner, manager and control person of Brookstone Capital Inc.

21   5.   Robert L. Carver has not requested a hearing on this matter within 30 days of service

22 as required, and the time period has expired to request a hearing.

23 GOOD CAUSE APPEARING THEREFORE, IT IS ORDERED that Robert L. Carver is

24 barred in the State of California from any position of employment, management or control of any

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26 investment adviser, broker-dealer or commodity adviser pursuant to Corporations Code section

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1 23232.1 for acts committed as specified in Corporations Code section 25232 subdivisions (a) and

2 (b).

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5 Dated: September 26, 2005

   WAYNE STRUMPFER
   Acting California Corporations Commissioner

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7 By: _____
   ALAN S. WEINGER
   Acting Deputy Commissioner

8 Enforcement Division

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State of California - Department of Corporations