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**STATE OF CALIFORNIA**  
**BUSINESS, TRANSPORTATION AND HOUSING AGENCY**  
**DEPARTMENT OF CORPORATIONS**

TO: Anthony Wayne Brown  
The Brown Group of California, LLC  
268 Bush Street, Suite 4210  
San Francisco, CA 94104

**DESIST AND REFRAIN ORDER**  
**(For violations of sections 22100 and 50002 of the Financial Code)**

The California Corporations Commissioner finds that:

1. The Brown Group of California, L.L.C. (“The Brown Group”) is a California Limited Liability Company that lists a principal place of business as 268 Bush Street, Suite 4210, San Francisco, California, 94104. Anthony Wayne Brown (“Anthony W. Brown”) is, and at all relevant times was, the CEO of The Brown Group.

2. Beginning on or before January 2008, The Brown Group and Anthony W. Brown engaged in the business of a finance broker when they agreed to serve as the placement agent in connection with the acquisition of loan financing. The Brown Group and Anthony W. Brown charged their client borrowers advanced fees for the placement of these loans. The funding promised was never received, and the advanced fees were never returned.

3. Neither The Brown Group nor Anthony W. Brown have been licensed by the Commissioner to engage in the business of a finance lender or broker as required by California Financial Code section 22100.

4. Beginning on or about December 2008, The Brown Group and Anthony W. Brown engaged in the business of making or servicing residential mortgage loans when they agreed to provide financing through their private investment sources for the acquisition of real property.

5. Neither The Brown Group nor Anthony W. Brown have been licensed by the Commissioner to engage in the business of making or servicing residential mortgage loans as required by California Financial Code section 50002.

1 Based on the foregoing findings, the California Corporations Commissioner is of the opinion  
2 that The Brown Group of California, L.L.C. and Anthony Wayne Brown have engaged in the  
3 business of a finance lender and/or broker in violation of California Financial Code section 22100.  
4 Pursuant to California Financial Code section 22712, The Brown Group of California, L.L.C. and  
5 Anthony Wayne Brown are hereby ordered to desist and refrain from engaging in the business of a  
6 finance lender or broker in the State of California without first obtaining a license from the  
7 Commissioner, or otherwise being exempt.

8 Based on the foregoing findings, the California Corporations Commissioner is of the further  
9 opinion that The Brown Group of California, L.L.C. and Anthony Wayne Brown have engaged in the  
10 business of making or servicing residential mortgage loans in violation of California Financial Code  
11 section 50002. Pursuant to California Financial Code section 50320, The Brown Group of California,  
12 L.L.C. and Anthony Wayne Brown are hereby ordered to desist and refrain from engaging in the  
13 business of making and servicing residential mortgage loans in the State of California without first  
14 obtaining a license from the Commissioner, or otherwise being exempt.

15 This Order is necessary, in the public interest, for the protection of consumers and is  
16 consistent with the purposes, policies and provisions of the California Finance Lenders Law and  
17 California Residential Mortgage Lending Act.

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19 Dated: January 26, 2011  
20 Los Angeles, California

PRESTON DuFAUCHARD  
California Corporations Commissioner

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23 By \_\_\_\_\_  
24 ALAN S. WEINGER  
25 Deputy Commissioner  
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