

1 PRESTON DuFAUCHARD  
California Corporations Commissioner  
2 ALAN S. WEINGER  
Deputy Commissioner  
3 JOYCE TSAI (CA BAR NO. 241908)  
Corporations Counsel  
4 DEPARTMENT OF CORPORATIONS  
1350 Front Street, Room 2034  
5 San Diego, California 92101  
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6

7 Attorneys for Complainant

8 BEFORE THE DEPARTMENT OF CORPORATIONS  
9 OF THE STATE OF CALIFORNIA

10  
11 In the Matter of the Desist and Refrain Order )  
against: )  
12 )  
13 DOROTHY OF OZ, LLC, dba BOX OFFICE )  
14 PRODUCTIONS III, LLC, and NEIL )  
15 KAUFMAN )  
16 )  
17 )

STIPULATION TO DESIST AND REFRAIN ORDER

18 IT IS HEREBY STIPULATED AND AGREED between Dorothy of Oz, LLC, doing  
19 business as Box Office Productions III, LLC, and Neil Kaufman (hereinafter “Respondents”) and the  
20 California Corporations Commissioner (“Commissioner”), as follows:

21 WHEREAS, Respondents admit the jurisdiction of the California Department of  
22 Corporations over their persons and over the subject matter of this Stipulation;

23 WHEREAS, Respondents waive the right to any hearing, including that provided by  
24 California Corporations Code sections 25532, subdivision (d), and 25609. Further, Respondents  
25 waive any and all review by a court of law, including that provided by California Government Code  
26 section 11523;

27 WHEREAS, all terms used, but not defined herein, shall have the meaning assigned to them  
28 by the California Corporations Code;



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PRESTON DuFAUCHARD  
California Corporations Commissioner

Dated: November 23, 2009

By \_\_\_\_\_  
Alan S. Weinger  
Deputy Commissioner

DOROTHY OF OZ, LLC, dba BOX OFFICE  
PRODUCTIONS III, LLC, and NEIL KAUFMAN

Dated: November 12, 2009

By \_\_\_\_\_  
NEIL KAUFMAN