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BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
OF THE STATE OF CALIFORNIA

In the Matter of:)	CRD No.: 4880813
THE COMMISSIONER OF BUSINESS OVERSIGHT,)	ORDER BARRING JOSEPH N. FAHMY FROM ANY POSITION OF EMPLOYMENT, MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER OR BROKER-DEALER
Complainant,)	
v.)	(CORPORATIONS CODE SECTION 25232.1)
JOSEPH N. FAHMY,)	
Respondent.)	

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21 TO: Joseph N. Fahmy
67 Alexander Avenue
22 Belmont, MA 02478

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24 1. On October 11, 2016, the Commissioner of Business Oversight (“Commissioner”)
25 brought an action to bar Joseph N. Fahmy from any position of employment, management, or control
26 of any investment adviser or broker-dealer under section 25232.1 of the Corporate Securities Law of
27 1968 (Corp. Code, § 25000 et seq.).

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