

1 MARY ANN SMITH  
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2 SEAN ROONEY  
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4 Department of Business Oversight  
1515 K Street, Suite 200  
5 Sacramento, California 95814  
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7 Attorneys for Complainant

8  
9 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
10 OF THE STATE OF CALIFORNIA

11 In the Matter of: ) CRMLA NO.: 413-1280  
12 THE COMMISSIONER OF BUSINESS )  
OVERSIGHT, ) ORDER TO DISCONTINUE VIOLATIONS  
13 Complainant, ) PURSUANT TO FINANCIAL CODE  
14 v. ) SECTION 50321  
15 HARTFORD FINANCIAL SERVICES, INC., )  
16 Respondent. )  
17 )

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19 For the reasons specified in the Statement in Support and pursuant to Financial Code section  
20 50321, Hartford Financial Services, Inc. is ordered to discontinue violations of sections 50401,  
21 50326, 50205, and 50200 of the Financial Code.

22 IT IS FURTHER ORDERED THAT Hartford Financial Services, Inc. discontinue violations  
23 set forth above by: 1) filing its annual report; 2) forfeiting to the people of the state a fine of  
24 \$2000.00; 3) maintaining the requisite surety bond; and 4) filing its audit report. The fine shall be  
25 paid in the form of a cashier’s check to the “Department of Business Oversight,” and mailed to the  
26 attention of: Accounting-Litigation, at the Department of Business Oversight located at 1515 K  
27 Street, Suite 200, Sacramento, California 95814. Notice of payment shall be sent to Timothy L.  
28 Le Bas, Senior Counsel, Enforcement Division, at the Department of Business Oversight located at

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1515 K Street, Suite 200, Sacramento, California 95814. Hartford Financial Services, Inc. shall also file its annual report and audit report, demonstrate adequate bonding, and pay the required fine, within fifteen days of the date this Order becomes final pursuant to the provisions of Financial Code section 50323.

Dated: June 21, 2018  
Sacramento, CA

JAN LYNN OWEN  
Commissioner of Business Oversight

By \_\_\_\_\_  
MARY ANN SMITH  
Deputy Commissioner  
Enforcement Division