

1 1968, Daniel I. Higgins is hereby ordered to **DESIST and REFRAIN** from effecting any
2 transaction in, or inducing or attempting to induce the purchase or sale of, any security in
3 this state, unless and until he has applied for and secured from the Commissioner a
4 certificate, then in effect, authorizing him to act in that capacity.

5 Further, Daniel I. Higgins offered and sold the security of The Alpha Capital Group,
6 LLC in this State by means of written or oral communications which included untrue
7 statements of a material fact or omitted to state a material fact necessary in order to make
8 the statements made, in light of the circumstances under which they were made, not
9 misleading, in violation of section 25401 of the Corporate Securities Law of 1968. Pursuant
10 to Section 25532 of the Corporate Securities Law of 1968, Daniel I. Higgins is hereby
11 ordered to **DESIST and REFRAIN** from offering and selling any security in the State of
12 California, including but not limited to, by means of any written or oral communication which
13 includes an untrue statement of a material fact or omits to state a material fact necessary in
14 order to make the statements made, in light of the circumstances under which they were
15 made not misleading.

16 This Order is necessary, in the public interest, for the protection of investors and
17 consistent with the purposes, policies, and provisions of the Corporate Securities Law of
18 1968.

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20 Dated: December 24, 2002

21 Sacramento, California

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DEMETRIOS A. BOUTRIS
California Corporations Commissioner

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By _____
VIRGINIA JO DUNLAP
Supervising Counsel
Enforcement and Legal Services

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