

1 PRESTON DUFAUCHARD
California Corporations Commissioner
2 ALAN S. WEINGER
Deputy Commissioner
3 JOYCE TSAI
Corporations Counsel
4 DEPARTMENT OF CORPORATIONS
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7 Attorneys for Complainant

8
9 BEFORE THE DEPARTMENT OF CORPORATIONS
OF THE STATE OF CALIFORNIA

10 In the Matter of)
11)
12 THE CALIFORNIA CORPORATIONS)
COMMISSIONER,)
13)
Complainant,)
14 v.)
15)
JORGE ROMERO INVESTMENTS, INC.;)
16 MAXIMUM RETURN INVESTMENTS, INC.;)
and JORGE ROMERO)
17)
Respondents.)
18

ORDER IMPOSING PENALTIES AND
ANCILLARY RELIEF

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20 To: Jorge Romero
Jorge Romero Investments, Inc.
21 Maximum Return Investments, Inc.
7235 Zelzah Avenue
22 Reseda, CA 91335
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24 Preston DuFauchard, the California Corporations Commissioner (“Commissioner”) of the
25 Department of Corporations (“Department”), finds that:

- 26 1. On November 8, 2010, the Commissioner issued his Notice of Intention to Issue
27 Order Levying Administrative Penalties Pursuant to Corporations Code Section 25252 and Claim for
28 Ancillary Relief Pursuant to Corporations Code Section 25254; and Statement in Support of Order

1 Levying Administrative Penalties Pursuant to Corporations Code Section 25252; Claim for Ancillary
2 Relief Pursuant to Corporations Code Section 25254; and Desist and Refrain Order against Jorge
3 Romero, Jorge Romero Investments, Inc., and Maximum Return Investments, Inc. (collectively,
4 “Respondents”).

5 2. Respondents were served on December 3, 2010.

6 3. Respondents did not file a notice of defense or otherwise request a hearing.

7 NOW THEREFORE, GOOD CAUSE SHOWING, the Commissioner having found that
8 Respondents have willfully violated Corporations Code sections 25110 and 25401, Respondents are
9 hereby ordered, jointly and severally, to pay to the Commissioner the sum of \$422,000.00 in
10 administrative penalties.

11 Further, Respondents are hereby ordered, jointly and severally, to pay to the Commissioner
12 full restitution consisting of the investors’ investment principal in an amount of \$1,945,000.00, plus
13 the legal rate of interest accumulated on the investment principal, in the amount of \$473,867.00, for
14 a total amount of \$2,418,867.00.

15 Further, Respondents are hereby ordered, jointly and severally, to pay to the Commissioner
16 attorney’s fees, investigative expenses, and costs in an amount of \$17,867.88.

18 Dated: February 1, 2011 PRESTON DUFAUCHARD
19 California Corporations Commissioner

20 By: _____
21 ALAN S. WEINGER
22 Deputy Commissioner

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