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BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
OF THE STATE OF CALIFORNIA

In the Matter of:)	CRD No. 4875386
THE COMMISSIONER OF BUSINESS OVERSIGHT,)	ORDER BARRING CHARLES ACHESON LAVERTY FROM ANY POSITION OF EMPLOYMENT, MANAGEMENT, OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-DEALER OR COMMODITY ADVISER PURSUANT TO CORPORATIONS CODE SECTION 25232.1
Complainant,)	
v.)	
CHARLES ACHESON LAVERTY, an individual,)	
Respondent.)	

On December 12, 2016, the Commissioner of Business Oversight (Commissioner) issued a Notice of Intention to Issue an Order Barring Charles Acheson Laverty From Any Position of Employment, Management, or Control of Any Investment Adviser, Broker-Dealer or Commodity Adviser pursuant to Corporations Code section 25232.1 (Notice of Intention).

On December 18, 2016, Charles Acheson Laverty (Laverty) was personally served with the Commissioner’s Notice of Intention and accompanying statutory documents.

The Notice of Intention specifically informed Respondent that unless a written request for a hearing was received by the Commissioner within 30 days, the order may be entered at any time thereafter without a hearing. To date, the Commissioner has not received a written request for a hearing from Laverty.

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NOW GOOD CAUSE APPEARING THEREFORE, IT IS ORDERED:

Charles Acheson Laverty is hereby barred from any position of employment, management, or control of any investment adviser, broker-dealer or commodity adviser pursuant to Corporations Code section 25232.1.

This Order is necessary, in the public interest, for the protection of investors, and consistent with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

Dated: February 3, 2017
Sacramento, California

JAN LYNN OWEN
Commissioner of Business Oversight

By _____
MARY ANN SMITH
Deputy Commissioner
Enforcement Division