

1 PRESTON DuFAUCHARD
California Corporations Commissioner
2 ALAN S. WEINGER
Deputy Commissioner
3 JUDY L. HARTLEY (CA BAR NO. 110628)
Senior Corporations Counsel
4 Department of Corporations
320 West 4th Street, Ste. 750
5 Los Angeles, California 90013-2344
Telephone: (213) 576-7604 Fax: (213) 576-7181
6 Attorneys for Complainant

8 BEFORE THE DEPARTMENT OF CORPORATIONS
9 OF THE STATE OF CALIFORNIA

10
11 In the Matter of the Petition for Reinstatement) File No.: 963-5081
of:)
12) ORDER DENYING PETITION FOR
13 STEVE LEHENBAUER) REINSTATEMENT
14)
15)
16)
17)

18 TO: EDMUND G. BROWN, JR.
19 ATTORNEY GENERAL, STATE OF CALIFORNIA
Department of Justice
20 P.O. Box 944255
21 Sacramento, California 94244-2550

22 Steve Lehenbauer
23 514 Palo Alto Ct.
24 Fairfield, California 94533-7776

25 Petitioner, Steve Lehenbauer, having filed a petition for reinstatement regarding the May 16,
26 2001 order barring Petitioner from any position of employment, management or control of an escrow
27 agent issued by the California Corporations Commissioner; the petition having been considered, no
28 further arguments having been filed by Petitioner despite ample opportunity to do so, this agency

1 finds that:

2 Petitioner is not entitled to reinstatement of employment, management or control of an
3 escrow agent for the following reasons:

4 1. Petitioner claims in his petition that he “spent the last ten (10) years working for a
5 large community Bank (sic) in Northern California for their trust/escrow department.” Petitioner
6 submits nothing in support of this claim other than his self-serving statement, which is insufficient
7 evidence to support such a claim. Petitioner does not even as much as identify the alleged large
8 community bank.

9 2. Petitioner also claims that at this job he has “taken several classes dealing with
10 fiduciary and consumer protection, fraud prevention and recording (sic) keeping, and audit
11 responsibilities as it relates to current and future customers deposits.” Petitioner goes on to state that
12 “[o]n average I have participated in 15-20 hours of continuing education on these subjects every year
13 for the last ten (10) years.” Again, Petitioner submits nothing in support of this claim other than his
14 self-serving statement. Moreover, the reasons for the bar against Petitioner involved Petitioner’s
15 flagrant and repeated violations of an order issued by the Commissioner with respect to his former
16 escrow company. None of the classes allegedly taken by Petitioner address this issue. Thus, there
17 appears to have been no attempt by Petitioner to rehabilitate in the areas in which there were
18 problems.

19 3. Petitioner’s failure to address the matters that led to his bar evidence that he has not
20 accepted responsibility for his past actions, i.e., repeated violations of an order issued by a state
21 agency.

22 WHEREFORE, IT IS ORDERED that the Petition be denied.

23 Dated: April 21, 2010
24 Los Angeles, Ca

PRESTON DuFAUCHARD
California Corporations Commissioner

25 By _____
26 Alan S. Weinger
27 Deputy Commissioner
28

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California Corporations Commissioner
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8 BEFORE THE DEPARTMENT OF CORPORATIONS
9 OF THE STATE OF CALIFORNIA

10
11 In the Matter of the Petition for Reinstatement) File No.: 963-5081
of:)
12) NOTICE OF PETITION FOR
13 STEVE LEHENBAUER) REINSTATEMENT (California Government
Code section 11522)
14)
15)
16)
17)

18 TO: EDMUND G. BROWN, JR.
19 ATTORNEY GENERAL, STATE OF CALIFORNIA
Department of Justice
20 P.O. Box 944255
Sacramento, California 94244-2550

21
22 Steve Lehenbauer
23 514 Palo Alto Ct.
Fairfield, California 94533-7776
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25 Pursuant to Government Code Section 11522, you are hereby notified that on February 10,
26 2010, Respondent, Steve Lehenbauer (“Lehenbauer”) filed a Petition for Reinstatement or Reduction
27 of Penalty (“Petition”) with the California Corporations Commissioner (“Commissioner”). A true
28 and correct copy of the Petition is attached hereto and incorporated herein as Exhibit 1.

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On May 16, 2001, the Commissioner issued an Order barring Lehenbauer from any position of employment, management or control of any escrow agent for causing his then company, Safeguard Escrow Corporation, to violate an Order to Discontinue New Escrow Business on thirty-four (34) occasions. A true and correct copy of the bar order is attached and incorporated herein as Exhibit 2.

YOU ARE FURTHER NOTIFIED that written argument may be presented in this matter and should be received by the Department of Corporations **on or before April 13, 2010** at its office located at 320 West 4th Street, Ste. 750, Los Angeles, California 90013-1105, attention: Judy L. Hartley, Senior Corporations Counsel.

The Commissioner shall render a decision in this matter on or before May 13, 2010.

Dated: March 9, 2010
Los Angeles, Ca

PRESTON DuFAUCHARD
California Corporations Commissioner

By _____
Judy L. Hartley
Senior Corporations Counsel