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FILED  
SUPERIOR COURT OF CALIFORNIA  
COUNTY OF SAN BERNARDINO CIVIL DIVISION

MAY 02 2016

BY MANUEL HENRY ANDRIANO, DEPUTY

SUPERIOR COURT OF THE STATE OF CALIFORNIA  
FOR THE COUNTY OF SAN BERNARDINO

THE PEOPLE OF THE STATE OF CALIFORNIA, by and through the Commissioner of Business Oversight:	)	CASE NO. CIVDS1512999
	)	
Plaintiff,	)	<del>PROPOSED</del> FINAL JUDGMENT OF PERMANENT INJUNCTION; RESTITUTION; AND CIVIL PENALTIES AGAINST DEFENDANT PAUL R. MATA
v.	)	
	)	
PAUL R. MATA, an individual;	)	
RENAISSANCE MANAGEMENT, LLC, a Nevada limited liability company; SECURED CAPITAL INVESTMENTS, LLC, a Nevada limited liability company; LOGOS REAL ESTATE HOLDINGS, LLC, a California limited liability company; LOGOS LIFETIME ENTERPRISES, LLC, a California limited liability company; LOGOS MANAGEMENT GROUP, LLC, a California limited liability company; LOGOS WEALTH ADVISORS, INC., a California corporation, and DOES 1 through 50, inclusive,	)	ASSIGNED FOR ALL PURPOSES TO: The Honorable David S. Cohn
Defendants,	)	Dept: S26
And	)	
	)	
DAVID FRANCIS KAYATTA, an individual; MARIO PINCHEIRA, an individual; and WORLD GARDENS CAFÉ, LLC, a California limited liability company.	)	
Relief Defendants.	)	
	)	

A. Plaintiff, the People of the State of California, by and through the Commissioner of Business Oversight (“Plaintiff” or “Commissioner”) filed a civil action in this Court on September 9,

1 2015, pursuant to the Corporate Securities Law of 1968, Corporations Code sections 25000 *et seq.*  
2 (“CSL”) against Defendants Paul R. Mata (“Mata”), Renaissance Management, LLC  
3 (“Renaissance”), Secured Capital Investments, LLC (“SCI”), Logos Real Estate Holdings, LLC  
4 (“LREH”), Logos Lifetime Enterprises, LLC (“LLE”), Logos Management Group, LLC (“LMG”),  
5 and Logos Wealth Advisors, Inc. (“LWA”) (collectively, “Defendants”), as well as Relief Defendants  
6 David Francis Kayatta (“Kayatta”), Mario Pincheira (“Pincheira”), and World Gardens Café, LLC  
7 (“WGC”) (collectively, “Relief Defendants”), to request Injunctive Relief, Ancillary Relief, and Civil  
8 Penalties for numerous, repeat violations of the CSL. On December 15, 2015, the Court entered an  
9 Order Issuing Preliminary Injunction against Defendants and Relief Defendants.

10 B. Plaintiff’s Complaint alleges that Defendants fraudulently offered and sold securities,  
11 raising an estimated \$14,000,000.00 from approximately 100 investors in California and other states,  
12 while engaging in a misuse of investors’ funds. Defendants made inflated guarantees on investors’  
13 rates of return while omitting material facts in the offer and sale of securities, such as their past  
14 disciplinary history by multiple securities regulators and their lack of licensure to engage in the  
15 business of an investment adviser. Plaintiff’s Complaint further alleges that from at least June 20,  
16 2007 through September 2015, Mata managed and controlled Renaissance, SCI, LREH, LLE, LMG,  
17 LWA, and WGC (collectively, “Entity Defendants”), while using investors’ funds to pay for his,  
18 Kayatta’s, and Pincheira’s personal living expenses, fund start-up companies he owned and  
19 controlled, and expand his unlicensed investment advisory business.

20 C. Mata stipulated to the jurisdiction of this Court over him and over the subject matter of  
21 this action.

22 D. Mata stipulated to receiving service of the Summons and Complaint filed in this  
23 matter.

24 E. Mata read the Complaint, the Stipulation Between Plaintiff and Defendant Paul R.  
25 Mata to: (1) Entry of Final Judgment of Permanent Injunction; Restitution; and Civil Penalties; and  
26 (2) Final Administrative Order (hereinafter, “Stipulation”), which is hereby fully incorporated by  
27 reference.  
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1 F. Mata read this proposed Final Judgment of Permanent Injunction; Restitution; and  
2 Civil Penalties Against Defendant Paul R. Mata (hereinafter, “Final Judgment”).

3 G. Mata waived any claims known and unknown to him against the State of California,  
4 its agents, officers, or employees based on the facts underlying the present action. Mata specifically  
5 waived any rights provided by Civil Code section 1542, which provides: “A general release does not  
6 extend to claims which the Creditor does not know or suspect to exist in his favor at the time of  
7 executing the release.”

8 H. Mata stipulated and agreed that he entered into the Stipulation voluntarily and without  
9 coercion, and acknowledged that no promises, threats or assurances were made by Plaintiff or any  
10 officer, or agent thereof to induce him to enter into the Stipulation.

11 I. Mata waives any trial or adjudication of any fact or law herein or the taking of any  
12 proof and all rights to appeal the entry of the Final Judgment.

13  
14 **PURSUANT TO THE STIPULATION OF THE PARTIES AND GOOD CAUSE APPEARING**  
15 **THEREFOR, IT IS HEREBY ADJUDGED, ORDERED AND DECREED THAT JUDGMENT**  
16 **BE ENTERED AS FOLLOWS:**

17 1. The Court hereby enters a final judgment of permanent injunction enjoining Mata  
18 from directly or indirectly engaging in, committing, aiding and abetting, substantially assisting others  
19 or as a control person performing, by any means whatsoever, any of the following acts:

20 a. Violating Corporations Code section 25230 by directly or indirectly acting as  
21 an investment adviser without a certificate from the Commissioner authorizing him to engage in the  
22 business of an investment adviser;

23 b. Violating Corporations Code section 25235 by directly or indirectly engaging  
24 in any act, practice, or course of business which is fraudulent, deceptive, or manipulative while  
25 engaging in the business of an investment adviser; and

26 c. Violating Corporations Code section 25401 by directly or indirectly offering to  
27 sell or selling any security of any kind, including but not limited to, the securities described in the  
28 Complaint, by means of any written or oral communication, which contains any untrue statement of

1 any material fact or omits or fails to state any material fact necessary in order to make the statements  
2 made, in the light of the circumstances under which they are made, not misleading.

3 2. The Court hereby enters a final judgment of: (1) restitution against Mata and in favor  
4 of Plaintiff in the total amount of \$14,000,000.00, less the amount(s) recovered by Plaintiff or the  
5 United States Securities and Exchange Commission relating to the facts set forth in the Complaint,  
6 subject to Paragraphs 3 – 5 below, and (2) civil penalties against Mata and in favor of Plaintiff in the  
7 total amount of \$6,325,000.00, subject to Paragraphs 3 – 5 below.<sup>1</sup>

8 3. All right, title and interest in the monies and assets frozen pursuant to the Preliminary  
9 Injunction entered in the matter, *Securities and Exchange Commission v. Paul Mata, et al., Case No.*  
10 *ED CV 15-01792 VAP* (“SEC Preliminary Injunction”), in Wells Fargo Bank account numbers  
11 ending in 0142, 9037, 1887, 0228, 4296, 5566, 3404, 4915, 6422, 2199, and U.S. Bank account  
12 numbers ending in 8415 and 5647 that Mata forfeited and assigned to the Receiver immediately upon  
13 execution of the Stipulation for the administration of the receivership estate and payment of  
14 restitution to investors, and the full value of these frozen monies and assets shall offset, or partially  
15 satisfy, the final judgment of \$14,000,000.00 in restitution and \$6,325,000.00 in civil penalties.

16 4. Any amounts the Receiver, in his final report and accounting of the receivership  
17 estate, when approved and entered by the Court supervising the Receiver, determines that Mata and  
18 Entity Defendants paid to investors from June 20, 2007 through the date of the entry of the Final  
19 Judgment, shall offset, or partially satisfy, the final judgment of \$14,000,000.00 in restitution and  
20 \$6,325,000.00 in civil penalties.

21 5. With respect to the entry and satisfaction of the final judgment of \$14,000,000.00 in  
22 restitution and \$6,325,000.00 in civil penalties, the following terms and conditions apply:

23 a. During the pendency of the receivership, the California Department of  
24 Business Oversight (“Department”) shall make no efforts to levy on or seize any income or assets of  
25 Mata to satisfy the final judgment of restitution and civil penalties against Mata, and Mata shall make  
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28 <sup>1</sup> All references to full or partial satisfaction of (1) restitution in the total amount of \$14,000,000.00, as well as (2) civil  
penalties in the total amount of \$6,325,000.00, shall include interest thereon at the legal rate per annum until said amount  
is paid in full.

1 any and all payments, which offset or satisfy the final judgment of restitution and civil penalties, to  
2 the Receiver for the administration of the receivership estate.

3 b. After the court supervising the Receiver issues the Order closing the  
4 receivership estate and discharging the Receiver, Mata shall make any and all payments, which offset  
5 or satisfy the final judgment of restitution and civil penalties, to the Department. Any payments made  
6 by Mata to the United States Securities and Exchange Commission toward restitution shall also offset  
7 and/or satisfy the final judgment of restitution to Plaintiff in this matter.

8 6. The Final Judgment may be entered by the Court at any time without further notice to  
9 Mata or a hearing.

10 7. This Court shall retain jurisdiction of this action in order to implement and enforce the  
11 terms of the Stipulation and entry of Final Judgment pursuant thereto, and to entertain any suitable  
12 application or motion for additional relief or modification or any order made herein within the  
13 jurisdiction of this Court.

14 IT IS SO ORDERED.

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16 Dated: 5/2/16

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**THE HONORABLE DAVID S. COHN**  
**JUDGE OF THE SUPERIOR COURT**

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