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9  
10 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
11 OF THE STATE OF CALIFORNIA  
12

13 In the Matter of: ) CRD No. 1791367  
)  
14 THE COMMISSIONER OF BUSINESS ) ORDER BARRING PAUL R. MATA FROM  
OVERSIGHT OF THE STATE OF ) ANY POSITION OF EMPLOYMENT,  
15 CALIFORNIA, ) MANAGEMENT OR CONTROL OF ANY  
16 ) INVESTMENT ADVISER, BROKER-  
Complainant, ) DEALER OR COMMODITY ADVISER  
17 v. ) PURSUANT TO CORPORATIONS CODE  
) SECTION 25213  
18 PAUL R. MATA, )  
19 )  
Respondent. )  
20 )  
21 )

22 Pursuant to the Stipulation Between Plaintiff and Defendant Paul R. Mata to: (1) Entry Of  
23 Final Judgment of Permanent Injunction; Restitution; and Civil Penalties; and (2) Final  
24 Administrative Order in *People of the State of California, by and through the Commissioner of*  
25 *Business Oversight v. Paul R. Mata et al.* (Case No. CIVDS1512999) executed on April 15, 2016, it  
26 is hereby ordered that Paul R. Mata is barred from any position of employment, management or  
27 control of any investment adviser, broker-dealer or commodity adviser pursuant to Corporations  
28 Code section 25213.

ORDER BARRING PAUL R. MATA FROM ANY POSITION OF EMPLOYMENT,  
MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-DEALER OR  
COMMODITY ADVISER PURSUANT TO CORPORATIONS CODE SECTION 25213

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This order is effective immediately.

Dated: April 15, 2016  
Los Angeles, California

JAN LYNN OWEN  
Commissioner of Business Oversight

By: \_\_\_\_\_  
MARY ANN SMITH  
Deputy Commissioner