

NAME, ADDRESS, AND TELEPHONE NUMBER OF ATTORNEY OR PARTY WITHOUT ATTORNEY: (LEAVE BLANK IF NOTICE IS BY CLERK OF THE COURT)		STATE BAR NUMBER	Reserved for Clerk's File Stamp ORIGINAL FILED JUL 14 2006 LOS ANGELES SUPERIOR COURT
ATTORNEY FOR (Name): SUPERIOR COURT OF CALIFORNIA, COUNTY OF LOS ANGELES			
COURTHOUSE ADDRESS: 111 N. Hill St. Los Angeles			
PLAINTIFF: People of the State of California			
DEFENDANT: Leedha, Inc			
NOTICE OF ENTRY OF: <input checked="" type="checkbox"/> JUDGMENT <input type="checkbox"/> DISMISSAL <input type="checkbox"/> OTHER APPEALABLE ORDER		CASE NUMBER: BC 343931	

To the above named parties and to their attorneys of record, you are hereby given notice of entry of:

- Judgment in the above-entitled matter, entered on (date): July 14, 2006
- Order of Dismissal in the above-entitled matter, filed on (date): _____
- Order _____, filed on (date): _____

CERTIFICATE/DECLARATION OF MAILING

I, (typed or printed name): K. TOLLACK, do hereby (check one):

- Certify that I am
- an active member of the State Bar of California
 - the clerk of the above-entitled court
- Declare under penalty of perjury under the laws of the State of California that I am
- employed in/ a resident of (check one) Los Angeles County (where mailing occurred), over the age of 18 years,

and not a party to the cause within; that my business/ residence (check one) address is as shown above, and that on the date shown below I served notice of entry of the above-named document filed or entered herein, by depositing true copies thereof in sealed envelope(s), with postage thereon fully prepaid, in the United States Mail Service located at Los Angeles California (city and state), addressed to the below-named parties as follows:

Dept. of Corporations
 Attn: Michelle Lipton, Sr. Corps. Counsel
 320 W. 4th Street Ste 750
 Los Angeles, Ca. 90013

Additional names and addresses on attached sheet.

Date: 7-14-06 Signed: _____

Typed or printed attorney or employee name: _____

K. TOLLACK, Deputy for John A. Clarke, Executive Officer/Clerk

1 WAYNE STRUMPFER
Acting California Corporations Commissioner
2 ALAN S. WEINGER (CA BAR NO. 86717)
Acting Deputy Commissioner
3 MICHELLE LIPTON (CA BAR NO. 178078)
Senior Corporations Counsel
4 DEPARTMENT OF CORPORATIONS
320 West 4th Street, Suite 750
5 Los Angeles, California 90013
Telephone: (213) 576-7591
6 Facsimile: (213) 576-7181
7 Attorneys for the People of the State of California

ORIGINAL FILED
JUL 14 2006
LOS ANGELES
SUPERIOR COURT

9 SUPERIOR COURT OF THE STATE OF CALIFORNIA
10 FOR THE COUNTY OF LOS ANGELES

12 THE PEOPLE OF THE STATE OF) CASE NO. BC343931
13 CALIFORNIA, by and through the California)
Corporations Commissioner,) (REDACTED)
14) JUDGMENT BY COURT AFTER DEFAULT
Plaintiff,)
15)
v.)
16)
LEEDHA, INC., d.b.a. Flintridge Asset)
17 Management Company, a California) HEARING DATE:
Corporation;) TIME:
18 EARL D. ANSCHULTZ, an individual; and) DEPT.: 71
19 DOES 1 through 10, inclusive,) JUDGE: Honorable Soussan G. Bruguera
20) ACTION FILED: 12/5/05
Defendants.) NO TRIAL DATE
21)

Ex. 4

1 On reviewing the Complaint, the Summary of Statement of Facts, the evidentiary declarations
2 and other materials submitted by the Plaintiff, the People of the State of California, and good cause
3 appearing therefore, IT IS HEREBY ORDERED, ADJUDGED AND DECREED that:

4 1. Defendant Earl D. Anschultz ("Anschultz") and all persons acting in concert or
5 participating with him, are permanently restrained and enjoined from directly or indirectly violating:

6 a. California Corporations Code section 25230 by acting as an investment adviser
7 without a license.

8 b. California Corporations Code section 25235(b) by engaging in transactions,
9 practices, or a course of business to defraud or deceive any client or prospective client.

10 c. The Desist and Refrain Order dated April 26, 2001.

11 d. The Bar Order issued against Defendant Anschultz dated October 1, 2005.

12 2. Defendant Anschultz shall pay to the California Department of Corporations the sum
13 of \$200,000, as a civil penalty as authorized by California Corporations Code section 25535 for
14 violations of the Corporate Securities Laws as follows:

15 a. As to the First Cause of Action, Defendant Anschultz shall pay the California Department
16 of Corporations \$50,000, for acting as an unlicensed investment adviser in violation of Corporations
17 Code section 25230.

18 b. As to the Second Cause of Action, Defendant Anschultz shall pay the California
19 Department of Corporations \$50,000, for engaging in transactions, practices, or a course of business
20 to defraud or deceive any client or prospective client in violation of Corporations Code section
21 25235(b).

22 c. As to the Third Cause of Action, Defendant Anschultz shall pay the California
23 Department of Corporations \$50,000, for violations of the Desist and Refrain Order dated April 26,
24 2001.

25 d. As to the Fourth Cause of Action, Defendant Anschultz shall pay the California
26
27
28

1 Department of Corporations \$50,000, for violations of a Bar Order issued against Defendant
2 Anschutz dated October 1, 2005.

3 3. Defendants Leedha, Inc., d.b.a. Flintridge Asset Management Company ("Leedha")
4 and Anschutz, individually, jointly and severally, shall pay to the California Department of
5 Corporations on behalf of Leedha's clients the disgorgement provision in the Stipulation, in the
6 amount of \$55,056.25, together with interest thereon at the legal rate per annum until said amounts
7 are paid in full.

8 4. This Court shall retain jurisdiction over this action in order to implement and carry
9 out the terms of all orders and decrees that may be entered herein or to entertain any suitable
10 application or motion by plaintiff for additional relief within the jurisdiction of this Court.

11 Dated: ~~April 24, 2006~~

12 JUL 14 2006

13 WAYNE STRUMPFER
14 Acting California Corporations Commissioner

15 By _____
16 MICHELLE LIPTON
17 Senior Corporations Counsel
18 Attorneys for Plaintiff

19
20 Judge of the State of California
21 Los Angeles Judicial District
22
23 Soussan G. Bruguera
24
25
26
27
28