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9  
10 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
11 OF THE STATE OF CALIFORNIA

12 In the Matter of ) CRD NO.s: 174064  
13 THE COMMISSIONER of BUSINESS )  
14 OVERSIGHT, ) ORDER DENYING THE INVESTMENT  
15 Complainant, ) ADVISER CERTIFICATE APPLICATION OF  
16 v. ) MISSION ADVISORY SERVICES, INC.  
17 MISSION ADVISORY SERVICES, Inc. )  
18 Respondent. )  
19 \_\_\_\_\_ )

20 On or about January 15, 2015, Respondent, Mission Advisory Services, Inc., (Mission)  
21 applied for investment adviser registration in the State of California. On June 30, 2015, the  
22 Commissioner of Business Oversight (Commissioner) issued a Statement of Issues and Accusation  
23 in Support of the Notice of Intention to Issues Orders, *inter alia*, Denying the Investment Adviser  
24 Certificate Application of Respondent Mission.

25 Respondent Mission requested a hearing on the matter. A hearing was set for April 26,  
26 2016, in the Office of Administrative Hearings.

27 Pursuant to a Consent Order entered into by the Commissioner of Business Oversight and  
28 Mission, Respondent Mission has consented to the issuance of an order denying its application for

1 an investment adviser certificate.

2 NOW GOOD CAUSE APPEARING THEREFORE, IT IS ORDERED:

3 The application for an Investment Adviser Certificate filed by Mission Advisory Services,  
4 Inc. is DENIED pursuant to Corporations Code section 25232.

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6 Dated: 4/7/16

JAN LYNN OWEN  
Commissioner of Business Oversight

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9 By \_\_\_\_\_  
10 MARY ANN SMITH  
11 Deputy Commissioner  
12 Enforcement Division

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