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8
9 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
10 OF THE STATE OF CALIFORNIA

11 In the Matter of:) CRD Nos.: 134729, 3181603
12)
13 THE COMMISSIONER OF BUSINESS)
OVERSIGHT,) ORDER SUSPENDING RAVI GANJOO
14) FROM ANY POSITION OF EMPLOYMENT,
Complainant,) MANAGEMENT OR CONTROL OF ANY
15 v.) INVESTMENT ADVISER, BROKER-DEALER
OR COMMODITY ADVISER
16)
RG CAPITAL MANAGEMENT, LLC and)
17 RAVI GANJOO,)
18 Respondents.)

19 Pursuant to the Settlement Agreement entered into between the Commissioner of Business
20 Oversight and RG Capital Management, LLC and Ravi Ganjoo on June 12, 2017, it is hereby
21 ordered that Ravi Ganjoo is suspended from any position of employment, management or control of
22 any investment adviser, broker-dealer or commodity adviser for two years from the date of this
23 order. This order is effective immediately.

24 Dated: June 12, 2017

JAN LYNN OWEN
Commissioner of Business Oversight

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26 By _____
27 MARY ANN SMITH
Deputy Commissioner
28 Enforcement Division