

1 MARY ANN SMITH  
Deputy Commissioner  
2 MIRANDA LEKANDER  
Assistant Chief Counsel  
3 TIMOTHY L. Le BAS (State Bar No. 135565)  
Senior Counsel  
4 Department of Business Oversight  
1515 K Street, Suite 200  
5 Sacramento, California 95814  
Telephone: (916) 322-2050  
6 Facsimile: (916) 445-6985

7 Attorneys for Complainant

8  
9 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
10 OF THE STATE OF CALIFORNIA

11 In the Matter of: ) CRD Nos.: 134729, 3181603  
12 )  
13 THE COMMISSIONER OF BUSINESS )  
OVERSIGHT, ) ORDER SUSPENDING INVESTMENT  
14 ) ADVISER CERTIFICATE  
15 Complainant, )  
16 v. )  
17 RG CAPITAL MANAGEMENT, LLC and )  
RAVI GANJOO, )  
18 Respondents. )

19 Pursuant to the Settlement Agreement entered into between the Commissioner of Business  
20 Oversight and RG Capital Management, LLC and Ravi Ganjoo, on June 12, 2017, it is hereby  
21 ordered that the Investment Adviser certificate of RG Capital Management, LLC is suspended for  
22 two years from the date of this order. This order is effective immediately.

23 Dated: June 12, 2017

JAN LYNN OWEN  
Commissioner of Business Oversight

24  
25 By \_\_\_\_\_  
26 MARY ANN SMITH  
27 Deputy Commissioner  
Enforcement Division  
28