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9
10 **BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT**
11 **OF THE STATE OF CALIFORNIA**

12 In the Matter of:) CRMLA NO.: 4150027
13)
14 THE COMMISSIONER OF BUSINESS) ORDER TO DISCONTINUE VIOLATIONS
OVERSIGHT,) PURSUANT TO CALIFORNIA FINANCIAL
15) CODE SECTION 50321
Complainant,)
16)
17 v.)
18 RMR Financial, LLC,)
Respondent.)
19)
20)

21 TO: RMR Financial, LLC
22 16780 Lark Avenue
23 Los Gatos, CA 95032

24 The Commissioner of Business Oversight (“Commissioner”) finds that RMR
25 Financial, LLC has engaged in the following:

- 26 (1) Failed to maintain sufficient trust funds in its trust accounts;
- 27 (2) Failed to properly reconcile its trust accounts;
- 28 (3) Failed to properly reconcile its debit balances.

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These actions violate Financial Code section 50202 and California Code of Regulations, title 10, sections 1950.314.1 and 1950.314.6.

NOW, BASED ON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby ORDERED under the provisions of Financial Code section 50321, that RMR Financial, LLC, immediately discontinue the violations set forth above.

Dated: June 1, 2016
Sacramento, CA

JAN LYNN OWEN
Commissioner of Business Oversight

By _____
MARY ANN SMITH
Deputy Commissioner