1 2 3 4 5 6	MARY ANN SMITH Deputy Commissioner SEAN M. ROONEY Assistant Chief Counsel SOPHIA C. KIM (State Bar No. 265649) Senior Counsel Department of Business Oversight 320 West 4 th Street, Suite 750 Los Angeles, California 90013 Telephone: (213) 576-7594 Facsimile: (213) 576-7181
7	Attorneys for Complainant
8	BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
9	OF THE STATE OF CALIFORNIA
10	
11	In the Matter of the Petition for Reinstatement) CFL LICENSE NO.: 603-A620
12	of:) NOTICE OF PETITION FOR
13	SEAN ROBERTS a.k.a. SEAN R. ROBERTS) REINSTATEMENT
14) (Gov. Code, § 11522)
15	
16	
17	
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19	
20	TO: Xavier Becerra
21	Attorney General of California Department of Justice
22	P.O. Box 944255 Sacramento, California 94244-2550
23	
24	Sean Roberts a.k.a. Sean R. Roberts Attn: Celeste M. Brecht, Attorney for Petitioner Sean Roberts
25	VENABLE LLP
26	2049 Century Park East, Suite 2300 Los Angeles, California 90067
27	Under Government Code section 11522, the Attorney General is hereby notified that on or
28	around April 6, 2017, Sean Roberts a.k.a. Sean R. Roberts (Petitioner) filed with the Commissioner
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of Business Oversight (Commissioner) a petition for reinstatement of his California Financing Law¹ (CFL) license (Petition). A true and correct copy of the Petition is attached and incorporated herein as DBO Exhibit 1.

On August 26, 2009, the Commissioner, pursuant to a settlement agreement, issued an order barring Petitioner from any position of employment, management or control of any finance lender and/or broker (Order). A true and correct copy of the Order is attached and incorporated herein as DBO Exhibit 2.

Previously, on or around December 31, 2012, Petitioner filed a petition for reinstatement of his CFL license with the Commissioner. On or around July 16, 2013, the Commissioner issued an Order Denying Petition for Reinstatement (Order Denying December 2012 Petition). A true and correct copy of the Order Denying December 2012 Petition is attached and incorporated herein as DBO Exhibit 3.

The Attorney General and Petitioner are hereby notified that written argument and any supporting documentation may be presented in this matter and must be received no later than May 18, 2018, by Sophia C. Kim, Senior Counsel, at the Department of Business Oversight, 320 West 4th Street, Suite 750, Los Angeles, California 90013.

Dated: April 16, 2018

Los Angeles, California

JAN LYNN OWEN Commissioner of Business Oversight

By____SOPHIA C. KIM

Senior Counsel Enforcement Division

¹ Effective October 4, 2017, the name of the "California Finance Lenders Law" changed to the "California Financing Law." (Assem. Bill No. 1284 (2017-2018 Reg. Sess.) § 4.) For purposes of this document, a reference to the California Financing Law means the California Finance Lenders Law before October 4, 2017 and the California Financing Law on and after that date. (Fin. Code, § 22000.)