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STATE OF CALIFORNIA
BUSINESS, TRANSPORTATION AND HOUSING AGENCY
DEPARTMENT OF CORPORATIONS

TO: Rockwell Financial Network, Inc.
Financial Plus Investment, Inc.
Eagle Funding
Phoenix Realty
Jose de Jesus Lopez
10200 Sepulveda Blvd., Suite 120
Mission Hills, CA 91345
or
5701 Eastern Ave., Suite 630
Downey, CA 90240

DESIST AND REFRAIN ORDER

(For violations of sections 25110 and 25401 of the Corporations Code)

The California Corporations Commissioner finds that:

- 1. Rockwell Financial Network, Inc. (“Rockwell”) is, and was at all relevant times herein, a Nevada corporation located at 10200 Sepulveda Blvd., Suite 120, Mission Hills, California 91345.
- 2. Financial Plus Investment, Inc. (“Financial Plus”) is, and was at all relevant times herein, a California corporation located at 5701 Eastern Ave., Suite 630, Downey, California, 90240. Financial Plus has a second location at 10200 Sepulveda Blvd., Suite 120, Mission Hills, California 91345.
- 3. Jose de Jesus Lopez, aka Jesse Lopez, aka J. Lopez, aka J.J. Lopez (hereinafter, “Lopez”) is, and was at all relevant time, the treasurer of Rockwell and managed and controlled Rockwell.
- 4. At all relevant times herein, Lopez conducted business as Financial Plus.
- 5. At all relevant times herein, Lopez conducted business as Phoenix Realty and Eagle Funding, business entities of unknown organizations located at 10200 Sepulveda Blvd., Suite 120, Mission Hills, California 91345.

1 6. Beginning at least as early as 2006, Lopez, Rockwell, Financial Plus, Eagle Funding and
2 Phoenix Realty offered and sold a security in the form of a note.

3 7. The purported purpose of the offering was to borrow funds in the form of a loan secured
4 by a recorded deed of trust and use the funds to build properties such as town-homes.

5 8. The note is a security pursuant to California Corporations Code section 25019.

6 9. This security was offered and sold in this state in issuer transaction. The Department of
7 Corporations has not issued a permit or other form of qualification authorizing any person to offer
8 and sell this security in this state.

9 10. In connection with the offer and sale of securities, Lopez, Rockwell, Financial Plus, Eagle
10 Funding and Phoenix Realty made untrue statements of material facts including the following:

11 a. Representing that the note was secured by a deed of trust on a property owned by
12 Rockwell and Lopez located at 30544 Bouquet Canyon, Saugus, California when the note
13 was unsecured and Lopez or Rockwell did not own the property.

14 b. Under the note, interest-only payments would commence in November 2006, but no
15 payments due under the note have been paid.

16 Based upon the foregoing findings, the California Corporations Commissioner is of the
17 opinion that the note is a security subject to qualification under the California Corporate Securities
18 Law of 1968 and is being or was offered and sold without being qualified in violation of Corporations
19 Code section 25110.

20 Pursuant to Section 25532 of the California Corporations Code, Jose de Jesus Lopez,
21 Rockwell Financial Network, Inc., Financial Plus Investment, Inc., Phoenix Realty and Eagle
22 Funding are hereby ordered to desist and refrain from the further offers and sale of securities in the
23 State of California, including, but not limited to notes, unless and until qualification has been made
24 under said law or unless exempt.

25 Further, based upon the foregoing, the California Corporations Commissioner is of the
26 opinion that the security was offered and sold in this state by means of oral and written
27 communications which included misrepresentation of material facts necessary in order to make the
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1 statements made, in the light of the circumstances under which they were made, not misleading, in
2 violation of section 25401 of the Corporate Securities Law of 1968.

3 Pursuant to section 25532 of the Corporate Securities Law of 1968, Jose de Jesus Lopez,
4 Rockwell Financial Network, Inc., Financial Plus Investment, Inc., Phoenix Realty and Eagle
5 Funding are hereby ordered to desist and refrain from offering or selling or buying or offering to buy
6 any securities in the State of California, including but not limited to, notes, by means of any written
7 or oral communication which includes an untrue statement of a material fact or omits to state a
8 material fact necessary in order to make the statements made, in the light of the circumstances under
9 which they were made, not misleading.

10 This Order is necessary, in the public interest, for the protection of investors and consistent
11 with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

13 Dated: July 24, 2008
14 Los Angeles, California

PRESTON DuFAUCHARD
California Corporations Commissioner

16 By _____
17 ALAN S. WEINGER
18 Acting Deputy Commissioner
19 Enforcement Division
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