

1 WAYNE STRUMPFER
Acting California Corporations Commissioner
2 ALAN S. WEINGER (CA BAR NO. 86717)
Acting Deputy Commissioner
3 MARLOU de LUNA (CA BAR 162259)
Corporations Counsel
4 320 West 4th Street, Suite 750
Los Angeles, California 90013-2344
5 Telephone: (213) 576-7594

6 Attorneys for Complainant

7 BEFORE THE DEPARTMENT OF CORPORATIONS
8 OF THE STATE OF CALIFORNIA

10	In the Matter of)	FILE NO. 923-2397
11	THE CALIFORNIA CORPORATIONS)	
12	COMMISSIONER,)	ORDER TO DISCONTINUE VIOLATIONS
13	Complainant,)	PURSUANT TO CORPORATIONS CODE
14	v.)	SECTION 25249
15	REDWOOD FINANCIAL (BRUCE TODD)	
16	ROSEN DBA))	
17	Respondent.)	
18)	

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20 To: Redwood Financial
Bruce Todd Rosen DBA
21 17138 Avenida de la Herradura
22 Pacific Palisades, California 90272

23 Wayne Strumpfer, the Acting California Corporations Commissioner (“Commissioner”) of
24 the Department of Corporations (“Department”) finds that:

25 Redwood Financial (Bruce Todd Rosen DBA) ("Redwood") has continued to violate the
26 books and records requirements of the Corporate Securities Law of 1968 (Corps. Code section
27 25000 et seq.) and the regulations enacted thereunder. Redwood has violated California Code of
28 Regulations, title 10, section 260.241.3, subdivisions (a)(2) and (j) by failing to maintain general and

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auxiliary ledgers and by failing to prepare and maintain trial balances and computations of net capital and aggregate indebtedness on a monthly basis.

NOW, BASED UPON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby ORDERED under the provisions of Corporations Code section 25249, that Redwood Financial (Bruce Todd Rosen DBA) immediately discontinue violating Corporations Code section 25241 and California Code of Regulations, title 10, section 260.241.3, subdivisions (a)(2) and (j).

Dated: May 13, 2005

WAYNE STRUMPFER
Acting California Corporations Commissioner

By: _____
ALAN S. WEINGER
Acting Deputy Commissioner