

1 MARY ANN SMITH  
Deputy Commissioner  
2 JUDY L. HARTLEY (CA BAR NO. 110628)  
Senior Corporations Counsel  
3 Department of Corporations  
320 West 4<sup>th</sup> Street, Ste. 750  
4 Los Angeles, California 90013-2344  
Telephone: (213) 576-7604 Fax: (213) 576-7181  
5 Attorneys for Complainant

7 BEFORE THE DEPARTMENT OF CORPORATIONS  
8 OF THE STATE OF CALIFORNIA

9  
10 In the Matter of the Petition for Reinstatement ) File No.: 603-8629  
of: )  
11 ) NOTICE OF PETITION FOR  
12 SUMMIT FINANCIAL RESOURCES, L.P. ) REINSTATEMENT (California Government  
Code Section 11522)  
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18 TO: Douglas A. Keefe, President  
19 Summit Financial Resources, L.P.  
20 2455 E. Parlays Way, Suite 200  
Salt Lake City, Utah 84109

21 KAMALA D. HARRIS  
22 ATTORNEY GENERAL, STATE OF CALIFORNIA  
23 Department of Justice  
24 P.O. Box 944255  
Sacramento, California 94244-2550

25 Pursuant to Government Code section 11522, you are hereby notified that on February 8,  
26 2012, Respondent, Summit Financial Resources, L.P. (“Summit”), filed a Petition for Reinstatement  
27 of License or Reduction of Penalty (“Petition”) with the California Corporations Commissioner  
28 (“Commissioner”). A true and correct copy of the Petition without exhibits is attached hereto and  
incorporated herein as Exhibit 1.

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The Commissioner, on April 15, 2008 to be effective May 1, 2008, issued an order revoking the California Finance Lender license of Summit for failure to file its annual report as required by Financial Code section 22159. A true and correct copy of the revocation order is attached hereto and incorporated herein as Exhibit 2.

YOU ARE HEREBY FURTHER NOTIFIED that written argument and/or further written argument may be presented in this matter and should be received by the Department of Corporations **on or before December 11, 2012** at its office located at 320 W. 4<sup>th</sup> Street, Suite 750, Los Angeles, California 90013-2344, attention: Judy L. Hartley, Senior Corporations Counsel.

The Commissioner shall render a decision in this matter on or before January 11, 2013.

Dated: November 13, 2012  
Los Angeles, Ca

JAN LYNN OWEN  
California Corporations Commissioner

By \_\_\_\_\_  
Judy L. Hartley  
Senior Corporations Counsel

1 MARY ANN SMITH  
Deputy Commissioner  
2 JUDY L. HARTLEY (CA BAR NO. 110628)  
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7 BEFORE THE DEPARTMENT OF CORPORATIONS  
8 OF THE STATE OF CALIFORNIA

9  
10 In the Matter of the Petition for Reinstatement ) File No.: 603-8629  
of: )  
11 ) ORDER GRANTING PETITION FOR  
12 SUMMIT FINANCIAL RESOURCES, L.P. ) REINSTATEMENT (California Government  
Code section 11522)  
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18 TO: Douglas A. Keefe, President  
19 Summit Financial Resources, L.P.  
20 2455 E. Parlays Way, Suite 200  
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24 Sacramento, California 94244-2550

25 1. On or about February 8, 2012, Petitioner, Summit Financial Resources, L.P.  
26 (“Summit”) filed a petition for reinstatement of its California Finance Lender Law license, which  
27 was revoked by the California Corporations Commissioner (“Commissioner”) on April 15, 2008 to  
28 be effective May 1, 2008.

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2. The petition has been considered and the Commissioner finds that good cause exists to reinstate Summit’s CFLL license in that Summit has filed its 2007 annual report that led to the revocation and paid penalties in the amount of \$2,500.00 for the failure to timely file its 2007 annual report.

3. Summit has submitted all items necessary for licensing and the Commissioner is not aware of any information that would otherwise disqualify Summit from reinstatement of its California Finance Lender Law license pursuant to the Government Code section 11522,

THEREFORE, GOOD CAUSE APPEARING, the California Finance Lender Law license of Summit Financial Resources, LP, license number 603-8629, is hereby reinstated effective this date.

Dated: December 13, 2012  
Los Angeles, Ca

JAN LYNN OWEN  
California Corporations Commissioner

By \_\_\_\_\_  
Mary Ann Smith  
Deputy Commissioner  
Enforcement Division