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STATE OF CALIFORNIA
BUSINESS, TRANSPORTATION AND HOUSING AGENCY
DEPARTMENT OF CORPORATIONS

TO: Andrew S. Mackey
ASM Financial Funding Corporation
14 Brooklyn Avenue
Valley Stream, New York 11580

Neelam S. Sharma
dba Cash Connection Sources
1926 Wildflower Way
Bellingham, Washington 98229

DESIST AND REFRAIN ORDER
(For violation of section 25110 of the Corporations Code)

The California Corporations Commissioner finds that:

1. ASM Financial Funding Corporation (“ASM Financial”) was formed in the State of New York on March 17, 1995, and at all relevant times listed addresses that included 14 Brooklyn Avenue and 41 Merrick Road, in Valley Stream, New York 11580. At all relevant times Andrew S. Mackey (“Mackey”) was the Chief Executive Officer and President of ASM Financial. Neelam S. Sharma dba Cash Connection Sources (“Sharma”) of 1926 Wildflower Way, Bellingham, Washington 98229, was a sales agent of ASM Financial.

2. Beginning before November 2005 ASM Financial, Mackey, and Sharma offered and sold securities in the form of investment contracts and evidences of indebtedness.

3. ASM Financial, Mackey, and Sharma offered and sold a “Loan Warranty Program” whereby an investor would pay to ASM Financial an advance fee from 17 to 25% of the loan amount and receive in return within three to five years 100% of the loan amount.

1 4. ASM Financial, Mackey, and Sharma also offered and sold a “Wealth Enhancement
2 Club II Program” whereby an investor would contribute funds to a joint venture managed by ASM
3 Financial who would then place the funds in offshore businesses. The investor is promised 20%
4 interest.

5 5. ASM Financial, Mackey, and Sharma have engaged in general solicitations to offer
6 and sell these securities for sale to the public, and have failed to return the principal invested to
7 investors.

8 6. These securities were offered and sold in this state in issuer transactions. The
9 Department of Corporations has not issued a permit or other form of qualification authorizing any
10 person or entity to offer and sell these securities in this state.

11 Based on the forgoing findings, the California Corporations Commissioner is of the opinion
12 that the investments offered and sold by ASM Financial, Mackey, and Sharma constitute securities,
13 which are subject to qualification under the California Corporate Securities Law of 1968, and that
14 these securities have been and are being offered and sold without being qualified, and are not exempt,
15 in violation of Corporations Code section 25110.

16 Pursuant to Corporations Code section 25532, ASM Financial Funding Corporation, Andrew
17 S. Mackey, and Needham S. Sharma dba Cash Connection Sources are hereby ordered to desist and
18 refrain from the further offer or sale in the State of California of securities, including but not limited
19 to investment contracts and evidences of indebtedness, unless and until qualification has been made
20 under the law or unless exempt.

21 This Order is necessary, in the public interest, for the protection of investors and consistent
22 with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

23 Dated: May 5, 2008
24 Los Angeles, California

PRESTON DuFAUCHARD
California Corporations Commissioner

25
26
27 By _____
ALAN S. WEINGER
28 Lead Corporations Counsel