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7 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
8 OF THE STATE OF CALIFORNIA

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| <p>9 In the Matter of:</p> <p>10 THE COMMISSIONER OF BUSINESS</p> <p>11 OVERSIGHT,</p> <p>12 Complainant,</p> <p>13 v.</p> <p>14 BEACON FINANCIAL ADVISORY</p> <p>15 SERVICES, INC. and MARC SCOTT</p> <p>16 SARNER,</p> <p>17 Respondents.</p> | <p>) CRD No.: 4567083</p> <p>) ORDER BARRING MARC SCOTT SARNER</p> <p>) FROM ANY POSITION OF EMPLOYMENT,</p> <p>) MANAGEMENT OR CONTROL OF ANY</p> <p>) INVESTMENT ADVISER, BROKER-</p> <p>) DEALER OR COMMODITY ADVISER</p> <p>) PURSUANT TO CORPORATIONS CODE</p> <p>) SECTION 25232.1</p> |
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18 Pursuant to the Stipulation entered into between the Commissioner of Business Oversight and
19 Marc Scott Sarner on June 29, 2016, it is hereby ordered that Marc Scott Sarner is barred from any
20 position of employment, management or control of any investment adviser, broker-dealer or
21 commodity adviser pursuant to Corporations Code section 25232.1. This order is effective
22 immediately.

23 Dated: June 30, 2016

JAN LYNN OWEN
Commissioner of Business Oversight

26 By _____
27 MARY ANN SMITH
Deputy Commissioner
28 Enforcement Division