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Deputy Commissioner  
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3 KENNY V. NGUYEN (State Bar No. 233385)  
Senior Counsel  
4 Department of Business Oversight  
1515 K Street, Suite 200  
5 Sacramento, California 95814  
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7 Attorneys for Complainant

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10 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
11 OF THE STATE OF CALIFORNIA

12 In the Matter of the Petition for Reinstatement ) CFL LICENSE NO.: 6054618  
13 of: )  
14 SHARESPOST SECURITIES, LLC. ) NOTICE OF PETITION FOR  
REINSTATEMENT (California Government  
Code Section 11522)  
15 )

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17 TO: XAVIER BECERRA  
ATTORNEY GENERAL, STATE OF CALIFORNIA  
18 Department of Justice  
P.O. Box 944255  
19 Sacramento, California 94244-2550

20 BILL GAUSEWITZ  
21 Greenberg Traurig, LLP  
1201 K Street, Suite 1100  
22 Sacramento, CA 95814  
23 Attorney for Sharespost Securities, LLC

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25 Pursuant to Government Code section 11522, you are hereby notified that on or about  
26 January 17, 2018, Sharespost Securities, LLC, (Sharespost), Respondent, filed a Petition for  
27 Reinstatement of License (Petition) with the California Commissioner of Business Oversight  
28 (Commissioner). A true and correct copy of the Petition is attached hereto and incorporated herein

1 as Exhibit 1.

2 An order effective December 28, 2016 revoked Sharespost’s California Financing Law  
3 (CFL) license for failure to pay 2016-2017 annual assessments as required by Financial Code section  
4 22107. A true and correct copy of the revocation order is attached hereto and incorporated herein as  
5 Exhibit 2.

6 YOU ARE HEREBY FURTHER NOTIFIED that written argument and/or further written  
7 argument may be presented in this matter and should be received by the Department of Business  
8 Oversight on or before August 20, 2018 at its office located at 1515 K Street, Suite 200, Sacramento,  
9 California 95814. Please direct all correspondence to the attention of the undersigned counsel.

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11 Dated: July 30, 2018  
12 Sacramento, CA

JAN LYNN OWEN  
Commissioner of Business Oversight

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By \_\_\_\_\_  
KENNY V. NGUYEN  
Senior Counsel  
Enforcement Division

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