

1 **STATE OF CALIFORNIA**  
2 **BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY**  
3 **DEPARTMENT OF BUSINESS OVERSIGHT**

4 TO: Sweet Life Homes, LLC  
5 Heather Lynn Thompson  
6 Michael Irwin Thompson  
7 163 Dominican Drive  
8 San Rafael, California 94901

9 **DESIST AND REFRAIN ORDER**  
10 **(For violations of section 25110 of the Corporations Code)**

11 The Commissioner of Business Oversight (Commissioner) finds that:

12 1. At all relevant times, Sweet Life Homes, LLC (Sweet Life), was a California limited  
13 liability company with a principal place of business located at 163 Dominican Drive, San Rafael,  
14 California 94901. Sweet Life engaged in real estate related business transactions in California, which  
15 included, but was not limited to, the construction, purchase, remodel and/or resale of residential  
16 buildings for profit.

17 2. At all relevant times, Heather Lynn Thompson (Heather Thompson) was an  
18 individual, doing business at 163 Dominican Drive, San Rafael, California 94901. Heather  
19 Thompson was the managing member and principal member of Sweet Life.

20 3. At all relevant times, Michael Irwin Thompson (Michael Thompson) was an  
21 individual, doing business at 163 Dominican Drive, San Rafael, California 94901. Michael  
22 Thompson was a manager and member of Sweet Life, serving as the “chief operating officer” of  
23 Sweet Life.

24 4. Beginning in 2017, Sweet Life, Heather Thompson and Michael Thompson offered  
25 securities in the form of investment agreements to California residents. The terms of the investment  
26 were as follows: the investor made a capital investment of at least \$100,000.00 in Sweet Life in  
27 exchange for 10 percent annual interest for one year. Sweet Life would pay to the investor the first  
28 six months of interest on the investment amount at the time of the loan origination. At the end of the  
year, the investor would receive their investment amount in return in addition to the remaining six  
months of interest (approximately \$6,000.00).

1           5.       Beginning in 2017, Sweet Life, Heather Thompson and Michael Thompson offered  
2 and sold the above described securities in California in issuer transactions. The Commissioner has  
3 not issued a permit or other form of qualification authorizing any person to offer and/or sell these  
4 securities in the form of investment agreements in California. Sweet Life, Heather Thompson and  
5 Michael Thompson are not exempt from the qualification requirements set forth in the Corporate  
6 Securities Law of 1968 (Corp. Code, § 25000 et seq.) (CSL).

7           6.       Based on the foregoing findings, the Commissioner of Business Oversight finds that  
8 the investment agreements offered and sold by Sweet Life Homes, LLC, Heather Lynn Thompson,  
9 and Michael Irwin Thompson are securities subject to qualification under the CSL. These investment  
10 agreements were offered and sold without being qualified or exempt, in violation of Corporations  
11 Code section 25110.

12           7.       Pursuant to Corporations Code section 25532, Sweet Life Homes, LLC, Heather Lynn  
13 Thompson, and Michael Irwin Thompson are hereby ordered to desist and refrain from the further  
14 offer or sale in California of securities, including, but not limited to investment agreements, unless  
15 and until qualification has been made under said law or unless exempt.

16           This Order is necessary, in the public interest, for the protection of investors and consistent  
17 with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

18  
19 Dated: September 1, 2017  
20 Sacramento, California

JAN LYNN OWEN  
Commissioner of Business Oversight

21  
22 By \_\_\_\_\_  
23 MARY ANN SMITH  
24 Deputy Commissioner  
25 Enforcement Division  
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