

1 PRESTON DuFAUCHARD
California Corporations Commissioner
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Deputy Commissioner
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7 Attorneys for Complainant

8 BEFORE THE DEPARTMENT OF CORPORATIONS
9 OF THE STATE OF CALIFORNIA
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11	In the Matter of)	CASE NO. OAH# 2011030171
12)	
13	THE CALIFORNIA CORPORATIONS)	FILE NO. CRD# 2214419
14	COMMISSIONER,)	
15	Complainant,)	ORDER DENYING THE INVESTMENT
16	v.)	ADVISER CERTIFICATE APPLICATION OF
17	WANDA PITTMAN SEARS,)	WANDA PITTMAN SEARS AND BARRING
18	Respondent.)	WANDA PITTMAN SEARS FROM ANY
19)	POSITION OF EMPLOYMENT,
20)	MANAGEMENT OR CONTROL OF ANY
)	INVESTMENT ADVISER, BROKER-DEALER
)	OR COMMODITY ADVISER

21 On December 29, 2010, the California Corporations Commissioner (“Commissioner”) issued
22 a Statement Of Issues and Accusation in Support of Notice of Intention to Issue Orders: (1) Denying
23 the Investment Adviser Certificate Application of Wanda Pittman Sears (“Sears”) pursuant to
24 Corporations Code section 25232, subdivisions (a), (d)(3) and (h); and, (2) Barring Sears from Any
25 Position of Employment, Management or Control of Any Investment Adviser, Broker-Dealer or
26 Commodity Adviser pursuant to Corporations Code section 25232.1.
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DENIAL AND BAR ORDER

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On or about April 1, 2011, the parties entered into a Stipulation whereby Sears consents to the issuance of this Order.

NOW GOOD CAUSE APPEARING THEREFORE, it is hereby ordered that the investment adviser certificate application of Wanda Pittman Sears is denied pursuant to Corporations Code section 25232, subdivisions (a), (d)(3) and (h), and Wanda Pittman Sears is hereby barred from any position of employment, management or control of any investment adviser, broker-dealer or commodity adviser pursuant to Corporations Code section 25232.1. This order is effective as of the date hereof.

DATED: April 8, 2011
Los Angeles, CA

PRESTON DUFAUCHARD
California Corporations Commissioner

By _____
ALAN S. WEINGER
Deputy Commissioner