

BEFORE THE DEPARTMENT OF CORPORATIONS
OF THE STATE OF CALIFORNIA

In the Matter of)
THE CALIFORNIA CORPORATIONS)
COMMISSIONER,)
Complainant,)
v.)
LESLIE CLARK STIPEK, as an individual,)
Respondent.)

ORDER BARRING LESLIE CLARK STIPEK FROM ANY POSITION OF EMPLOYMENT, MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-DEALER OR COMMODITY ADVISER PURSUANT TO CORPORATIONS CODE SECTION 25213.

1. Respondent Leslie Clark Stipek is a registered agent for Stipek Securities, LLC, a broker-dealer business located at 12551 Welbe Drive, Santa Ana, California 92705. From February 23, 2000 to the present, Leslie Clark Stipek is Stipek Securities, LLC's chief executive officer, and registered agent.

2. On July 8, 2006, the Commissioner personally served Leslie Clark Stipek a Notice of Intention to Issue Order Revoking Stipek Securities, LLC's Certificate As A Broker-Dealer Pursuant To Corporations Code Section 25212 And Barring Leslie Clark Stipek From Any Position Of Employment, Management Or Control Of Any Investment Adviser, Broker-Dealer Or Commodity Adviser Pursuant To Corporations Code Section 25213 ("Notice") for violations of Corporate Securities Law sections 25110, 25210, 25401, 25216 and 25218. The reasons for such were laid out in the Accusation served along with the Notice.

3. Pursuant to Corporate Securities Law section 25215 the Commissioner may enter an order barring Leslie Clark Stipek from any position of employment, management or control of any investment adviser, broker-dealer or commodity adviser if respondent fails to request a hearing

1 within 30 days after mailing of the Notice. Leslie Clark Stipek was personally served with the Notice
2 and Accusation on July 7, 2006 and failed to file a notice of defense and request a hearing within 30
3 days from the date the Notice was personally served upon him.

4 NOW BASED UPON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby
5 ordered that Respondent, Leslie Clark Stipek is barred from any position of employment,
6 management or control of any investment adviser, broker-dealer or commodity adviser pursuant to
7 Corporations Code section 25213. This order is effective immediately.

8 Dated: August 8, 2006

9 PRESTON DuFAUCHARD
10 California Corporations Commissioner

11 By: _____
12 ALAN S. WEINGER
13 Supervising Attorney
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