

SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)

Apr-10

BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS

SUBJECT	ACTION	
ACE Cash Express, Inc. Irving, Texas	Desist and Refrain Order April 5, 2010	Unlawful Deferred Deposit VIOLATION Transaction Law Activity (Financial Code Sections 23005, 23036(e) and 23036(f))
Stefan Nicolas Misiraca Finito Escrow LLC Irvine, California	Desist and Refrain Order April 5, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17200 and 17403)
Innovative Advisory Services, Inc. Innovative Advisory Services, LLC Richard Hatfield Nickles, II Santa Ana, California	Accusation April 6, 2010	Unlawful Investment Adviser Activity (Corporations Code Sections 25232(e) and (h), 25232.1 and 25235(b))

SUBJECT	ACTION	
Derek Galli dba Galli Financial & Insurance Services Scott R. Bogue Brian Torrance Fortune Recovery Group, Inc. BT Management & Development Group, LLC BT Management Group, Inc. BT Partners, LLC Jackson, California Sacramento, California Auburn, California Raleigh, North Carolina	Desist and Refrain Order April 12, 2010	Unlawful Offer and Sale of VIOLATION Securities and Broker-Dealer Activity (Corporations Code Sections 25110, 25210 and 25401)
IZL Cash & Go, Inc. Lakewood, California	Order Revoking Licenses April 12, 2010	Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23007 and 23052)

SUBJECT	ACTION	
BodyLogicMD, Inc. Patrick W. Savage Boca Raton, Florida	Desist and Refrain Order April 15, 2010 Stipulation to Entry of Desist and Refrain Order April 26, 2010	Unlawful Franchise Investment Law Activity VIOLATION (Corporations Code Sections 31110 and 31402)
Solid Land MAS Corporation Robert Moreno Raul Gonzales Commerce, California Los Angeles, California	Desist and Refrain Order April 19, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Michael P. Gamboa MPG, LLC San Francisco, California	Order (1) Barring Michael P. Gamboa from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser (2) Revoking MPG, LLC's Investment Adviser Certificate April 20, 2010	Unlawful Investment Adviser Activity (Corporations Code Sections 25232 and 25232.1)

SUBJECT	ACTION	
<p>Apple Escrow</p> <p>Whittier, California</p>	<p>Notice and Summary of Findings</p> <p>Order to Discontinue Escrow Activities</p> <p>Demand for and Order Taking Possession of Property and Business</p> <p>Order Appointing Peter A. Davidson Conservator</p> <p>April 20, 2010</p>	<p>Unlawful Escrow Law Activity</p> <p>VIOLATION</p> <p>(Financial Code Sections 17415, 17621 and 17630)</p>
<p>Steve Lehenbauer</p> <p>Fairfield, California</p>	<p>Order Denying Petition for Reinstatement</p> <p>April 21, 2010</p>	<p>Unlawful Escrow Law Activity</p> <p>(California Government Code Section 11522)</p>

SUBJECT	ACTION	
Blue Mountain Escrow, Inc. Covina, California	Order Revoking Escrow Agent's License April 22, 2010	Unlawful Escrow Law Activity VIOLATION (Financial Code Sections 17406, 17602.5 and 17608)
Susan D. Davis Huntington Beach, California	Notice of Petition for Reinstatement April 21, 2010	Unlawful Escrow Law Activity (California Government Code Section 11522)
UBS Securities, LLC UBS Financial Services Inc. Stamford, Connecticut	Administrative Consent Order April 23, 2010	Unlawful Broker-Dealer Activity (Corporations Code Sections 25532(d) and 25609)
Christopher A. Tate Stoneridge Development Partners, LLC Stoneridge Homes, LLC Mission Viejo, California	Desist and Refrain Order April 26, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)

SUBJECT	ACTION	
Richard Ehmke Advanced Innovations, Inc. dba Business Direct Capital www.businessdirectcapital.com Carlsbad, California	Desist and Refrain Order April 26, 2010	Unlawful Finance Lender Law VIOLATION Activity (Financial Code Section 22100)
Sarah Frenczak Anita Gross Triton Group, L.L.C. San Francisco, California	Order Withdrawing Desist and Refrain Order April 26, 2010	Unlawful Broker-Dealer Activity (Corporations Code Section 25210)
Maria Belinda Flores-Martinez Flores Shield Group, Inc. The Pennington Alexander Group, Inc. Fun Life Le'Chris Entertainment & Vision, Inc. American Canyon, California Vacaville, California	Desist and Refrain Order April 27, 2010	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)

SUBJECT	ACTION	
<p>Channel 1 Escrow, Inc. Kenola D. Toney</p> <p>Lawndale, California</p>	<p>Order Denying Escrow Agent License Application</p> <p>Order (1) Barring Kenola D. Toney from any Position of Employment of any Escrow Agent for a Period of Three Years and (2) Barring Kenola D. Toney from any Position of Management or Control of any Escrow Agent</p> <p>Settlement Agreement</p> <p>April 27, 2010</p>	<p>Unlawful Escrow Law Activity VIOLATION</p> <p>(Financial Code Sections 17209, 17200.8, 17209.3, 17423 and 17702)</p>
<p>Goldman, Sachs & Co.</p> <p>New York, New York</p>	<p>Administrative Consent Order</p> <p>April 27, 2010</p>	<p>Unlawful Broker-Dealer Activity</p> <p>(Corporations Code Sections 25532(d) and 25609)</p>
<p>The Escrow People, Inc.</p> <p>Burbank, California</p>	<p>Order Revoking Escrow Agent's License</p> <p>April 27, 2010</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Sections 17210, 17406 and 17608)</p>

SUBJECT	ACTION	
Pete Ray aka Pedro Rayburn aka Pete Rayburn San Diego, California	Desist and Refrain Order April 29, 2010	Unlawful Offer and Sale of VIOLATION Securities (Corporations Code Section 25110)
Northwest Escrow Services Corp. San Clemente, California	Settlement Agreement April 29, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17406, 17408, 17602.5 and 17608)
STAR CASH PROCESSING www.1houreasycash.com www.loaninhour.com www.paydayloaninhour.com Miami, Oklahoma	Desist and Refrain Order April 29, 2010	Unlawful Deferred Deposit Transaction Law Activity (Financial Code Section 23005)
Brandon Miller Bryce McBride Reliance Investments, LLC Pleasant Grove, Utah Provo, Utah	Desist and Refrain Order April 29, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)