

SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)

Oct-10

BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS

SUBJECT	ACTION	
Mid Cal Escrow Services, Inc. Oakhurst, California	1) Accusation; and 2) Order Imposing Penalties October 4, 2010	Unlawful Escrow Law VIOLATION Activity (Financial Code Sections 17406, 17408 and 17602.5)
Carlo Joseph Carlo Joseph Investments, Inc. Waterworks Investments, Inc. Atlanta, Georgia	Desist and Refrain Order October 4, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Gregory Nesbitt Lisa Nesbitt IMC Postal Express Murrieta, California	Settlement Agreement Order Revoking California Deferred Deposit Transaction License October 6, 2010	Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23050, 23058, 23060 and 23061)

SUBJECT	ACTION	
Gary W. Adkisson The Continuum Clubs, Inc. Proaction Concepts, LLC Proaction Sports Medicine Concepts, LP Napa, California	Desist and Refrain Order October 8, 2010	Unlawful Offer and Sale of VIOLATION Securities (Corporations Code Sections 25110, 25120 and 25401)
Guadalupe Haro Valencia aka Lupe Valencia The Real Estate and Loan Consultants aka RE Equity Group, LLC Downey, California	Desist and Refrain Order October 11, 2010	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)

SUBJECT	ACTION	
<p>Central California Escrow Co.</p> <p>Bakersfield, California</p>	<p>Accusation</p> <p>Order Imposing Penalties</p> <p>October 11, 2010</p> <p>Order Withdrawing Order Imposing Penalties</p> <p>Order Withdrawing Accusation in Support of Suspension of California Escrow License</p> <p>October 26, 2010</p>	<p>Unlawful Escrow Law Activity</p> <p>VIOLATION</p> <p>(Financial Code Sections 17406, 17408, 17602.5 and 17608)</p>
<p>Mountainside Escrow</p> <p>San Fernando, California</p>	<p>Accusation</p> <p>Order Imposing Penalties</p> <p>October 14, 2010</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Sections 17207, 17406 and 17408)</p>

SUBJECT	ACTION	
<p>Farhang Oshidary Menlo Park, California</p>	<p>Accusation Re: Intent to Issue Order Barring Farhang Oshidary from any Position of Employment, Management or Control of any Broker Dealer or Investment Adviser</p> <p>October 14, 2010</p>	<p>Unlawful Broker-Dealer Activity VIOLATION</p> <p>(Corporations Code Sections 25213, 260.218.2, 25210, 25216 and 25212(e))</p>
<p>Home Escrow Company, Inc. Pomona, California</p>	<p>Order Revoking Escrow Agent's License</p> <p>October 19, 2010</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Section 17608)</p>

SUBJECT	ACTION	
<p>Apple Escrow</p> <p>Whittier, California</p>	<p>Order Amending Demand for and Order Taking Possession of Property and Business and Order Appointing Conservatorship</p> <p>October 19, 2010</p> <p>Petition for Limited Receiver</p> <p>October 20, 2010</p> <p>Notice of Application and Application for an Order Appointing a Limited Receiver to Liquidate and Wind up Trust Account Affairs; Memorandum of Points and Authorities; Declarations of Kathleen R. Partin and Peter A. Davidson</p> <p>October 28, 2010</p>	<p>Unlawful Escrow Law VIOLATION</p> <p>(Financial Code Sections 17621, 17630, 17414(a)(1), title 10 § 1738 and 1738.2, 17404 and title 10 § 1732.2)</p>

SUBJECT	ACTION	
<p>American Lending Group, Inc. dba Avant Lending Group, Inc.</p> <p>Saint Peters, Missouri</p>	<p>Accusation in Support of Revocation of California Residential Mortgage Lender License</p> <p>October 20, 2010</p>	<p>Unlawful Residential Mortgage Lending Activity VIOLATION</p> <p>(Financial Code Sections 50002.5, 50124, 50200, 50205, 50307, 50401 and title 10 § 1950.314.8)</p>
<p>Joshua Mills Steven Camp T.J. Amato Patricia Gillum Desert Wind Entertainment, LLC</p> <p>Woodland Hills, California</p>	<p>Desist and Refrain Order</p> <p>Stipulation to Desist and Refrain Order</p> <p>October 26, 2010</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Section 25110)</p>
<p>Cambridge Home Capital, LLC</p> <p>Great Neck, New York</p>	<p>Accusation</p> <p>October 26, 2010</p>	<p>Unlawful Residential Mortgage Lending Activity</p> <p>(Financial Code Sections 50002.5, 50200, 50205, 50307, 50326 and 50401)</p>

SUBJECT	ACTION	
<p>LendXFinancial LLC</p> <p>Atlanta, Georgia</p>	<p>Accusation</p> <p>October 26, 2010</p>	<p>Unlawful Residential Mortgage Lending Activity VIOLATION</p> <p>(Financial Code Sections 50002.5, 50200, 50205, 50307, 50326 and 50401)</p>
<p>Orange County Escrow, Inc.</p> <p>Santa Ana, California</p>	<p>Order Revoking Escrow Agent's License</p> <p>October 26, 2010</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Sections 17406 and 17408)</p>
<p>Melvin Russell Shields aka Rusty Shields Chastan Shields Pinnacle Property Solutions Convergent Management Group, LLC</p> <p>Hickory, North Carolina Merritt Island, Florida Valrico, Florida</p>	<p>Stipulation to Entry of Desist and Refrain Order</p> <p>October 27, 2010</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Sections 25110, 25401 and 25532)</p>

SUBJECT	ACTION	
Choice Exploration, Inc. Jon Martin Double Vision Cedar Crossing # 1 Fort Worth, Texas	Order Rescinding Desist and Refrain Order and Overturning Commissioner's Final Decision After Reconsideration October 27, 2010	Unlawful Offer and Sale of Securities VIOLATION (Corporations Code Section 25401)
SC Escrow Services, Inc. Hermosa Beach, California	Order Imposing Penalties October 28, 2010	Unlawful Escrow Law Activity (Financial Code Section 17408)
Karen Gardner Riverside, California	Amended Accusation October 29, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17414(a)(1), 17414(a)(2) and title 10 § 1738 and 1738.2)

