

SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)

Nov-10

BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS

SUBJECT	ACTION	
Elsworth Berg Capital Management, LLC William Berg Settles Douglas Elsworth Wilson San Diego, California	Accusation and Statement in Support of Claim for Ancillary Relief Order Summarily Revoking Investment Adviser Certificate November 4, 2010	Unlawful Investment Adviser Activity VIOLATION (Corporations Code Sections 25242 and 25254)
Stanton Optical, LLC Vision Value, LLC Macarius & Daniel, LLC Macarius, Max & Daniel, LLC Marco Musa Daniel Stanton Max Macarius Boca Raton, Florida	Desist and Refrain Order November 4, 2010	Unlawful Franchise Investment Law Activity (Corporations Code Section 31110)

SUBJECT	ACTION	
<p>U.S. Biofuels, Inc. James C. Munsen Brian Sullivan Gilbert Rousseau Vista Biofuels, Inc. James Collins McCabe Ventures, Inc. Robert Scaccianoce</p> <p>Van Nuys, California Las Vegas, Nevada Fountain Valley, California</p>	<p>Desist and Refrain Order</p> <p>November 4, 2010</p>	<p>Unlawful Offer and Sale of VIOLATION Securities</p> <p>(Corporations Code Sections 25110 and 25401)</p>
<p>Terrell Ornett Hickman The Prominent Group LLC Prominent Business Management Inc. 220 E. Flamingo Road 224 LLC 230 E. Flamingo Road 114 LLC 270 E. Flamingo Road 115 LLC</p> <p>Las Vegas, Nevada</p>	<p>1) Amended Statement in Support of Order Levying Administrative Penalties; 2) Claim for Ancillary Relief; 3) Desist and Refrain Order</p> <p>November 4, 2010</p>	<p>Unlawful Broker-Dealer Activity</p> <p>(Corporations Code Sections 25252 and 25254)</p>

SUBJECT	ACTION	
Jefferson Partners, Inc. James McGrew Janet Harriman Newport Beach, California	Desist and Refrain Order November 4, 2010	Unlawful Offer and Sale of VIOLATION Securities (Corporations Code Sections 25110 and 25401)
Jorge Romero Investments, Inc. Maximum Return Investments, Inc. Jorge Romero Reseda, California	1) Statement in Support of Order Levying Administrative Penalties; 2) Claim for Ancillary Relief; 3) Desist and Refrain Order November 8, 2010	Unlawful Broker-Dealer Activity (Corporations Code Sections 25252 and 25254)
Ike Petros Iossif Rancho Cucamonga, California	Complaint for Permanent Injunction November 8, 2010	Unlawful Investment Adviser Activity (Corporations Code Sections 25241(a), 25238, 25235(d) and 25235(b))

SUBJECT	ACTION	
Westloan Mortgage Corporation Albuquerque, New Mexico	Order Conditionally Granting Petition for Reinstatement November 9, 2010	Unlawful Residential Mortgage VIOLATION Lending Activity (Government Code Section 11522)
Sage Credit Escrow, Inc. Irvine, California	Order Summarily Revoking Escrow Agent's License November 10, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17406, 17408 and 17608)
Rockford Group, L.L.C. www.RockfordGroupllc.com Michael Conely aka Mike Conely Kevin Kline Laura Newman New York, New York	Desist and Refrain Order November 10, 2010	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)

SUBJECT	ACTION	
Eduardo Arguello Ezra Levine David Kaufman Global Asset Management, Inc. U.S. Metals Group www.usmetalsgroup.com Woodland Hills, California North Hollywood, California Hollywood, Florida	Desist and Refrain Order November 10, 2010	Unlawful Commodity Law VIOLATION Activity (Corporations Code Sections 29520 and 29536)
Mypaydayloan.com San Jose, Costa Rica	Desist and Refrain Order November 10, 2010	Unlawful Deferred Deposit Transaction Law Activity (Corporations Code Section 23005)
Amerisource Escrow, Inc. Hawthorne, California	Order Revoking Escrow Agent's License November 10, 2010	Unlawful Escrow Law Activity (Financial Code Section 17608)

SUBJECT	ACTION	
Kenneth Hayashi Hayashi Asset Management, LLC Hayashi Syndication Holdings, LLC Los Angeles, California	Desist and Refrain Order Stipulation to Desist and Refrain Order November 10, 2010	Unlawful Offer and Sale of Securities VIOLATION (Corporations Code Sections 25110 and 25401)
REO Land Services, Inc. Deborah Burton-Langley Deborah L. Heideman Irvine, California	Desist and Refrain Order November 15, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17200 and 17403)
The Escrow Mall Simi Valley, California	Order to Discontinue Violations November 15, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17403.2, 17404, 17405 and 1737.3)

SUBJECT	ACTION	
Global Payday Loan, LLC dba Payday Loan Yes www.payday-loan-yes.com www.e-payday-loan.com New Castle, Delaware Salt Lake City, Utah	Desist and Refrain Order November 16, 2010	Unlawful Deferred Deposit Transaction Law Activity VIOLATION (Financial Code Sections 23005 and 23036)
Cambridge Home Capital, LLC Great Neck, New York	Order Revoking California Residential Mortgage Lender License November 23, 2010	Unlawful Residential Mortgage Lender Activity (Financial Code Sections 50307, 50326, 50401 and 50311)
LendXFinancial LLC Atlanta, Georgia	Order Revoking California Residential Mortgage Lender License November 23, 2010	Unlawful Residential Mortgage Lender Activity (Financial Code Sections 50307, 50326, 50401 and 50311)

SUBJECT	ACTION	
First Southwestern Escrow, Inc. Michael Macapagal Theresita Macapagal Katherine Macapagal Union City, California	Order Setting Effective Date of Order Revoking Escrow Agent's License November 25, 2010	Unlawful Escrow Law VIOLATION Activity (Financial Code Sections 17608, 17423 and 17209.3)
Bernard L. Madoff Investment Securities LLC New York, New York	Order Summarily Revoking Broker-Dealer Certificate November 30, 2010	Unlawful Broker-Dealer Activity (Corporations Code Section 25242)