

SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)

Dec-10

BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS

SUBJECT	ACTION	
First Southwestern Escrow Union City, California	Order Appointing Camtu Tran Conservator Demand for and Order Taking Possession of the Trust Funds and Escrow Records of First Southwestern Escrow December 1, 2010	Unlawful Escrow Law Activity VIOLATION (Financial Code Sections 17621 and 17630)
Money Line Capital, Inc. M Line Capital, Inc. Anthony L. Anish George M. Colin www.mlinecap.com Irvine, California	Desist and Refrain Order December 1, 2010	Unlawful Finance Lender Law Activity (Financial Code Section 22100)

SUBJECT	ACTION	
David Meade Thomas Orange, California	Statement of Issues in Support of Non-Issuance of Mortgage Loan Originator License December 1, 2010	Non-Issuance of Mortgage Loan Originator License VIOLATION (Financial Code Section 22109.1)
West Escrow, Inc. Beverly Hills, California	Order Appointing Yong Hi Kristie Jaynes Conservator December 2, 2010	Unlawful Escrow Law Activity (Financial Code Section 17630)
Greg Lee Townsend Gilbert, Arizona	Statement of Issues in Support of Non-Issuance of Mortgage Loan Originator License December 2, 2010	Non-Issuance of Mortgage Loan Originator License (Financial Code Sections 50141 and 50513)

SUBJECT	ACTION	
Laroy Randall Campbell Carole Marie Campbell Barrington Trust Campbell Investments Laroy Campbell dba Ernest Campbell & Winthrop aka Ernest Campbell Winthrop Financial Trust Trooperking, Inc. Laroy R. Campbell Riverside, California Hemet, Campbell	Desist and Refrain Order December 7, 2010	Unlawful Offer and Sale of VIOLATION Securities (Corporations Code Sections 25110 and 25401)
Paul Lance Kelly, Jr. aka Lance Kelly San Francisco, California Sherman Oaks, California	Desist and Refrain Order December 8, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Terrance Brown Anto Kabajouzian Alexander, Anthony & Brown, Inc. Pleasanton, California	Desist and Refrain Order December 8, 2010	Unlawful Offer and Sale of Securities and Investment Adviser Activity (Corporations Code Sections 25110, 25230 and 25401)

SUBJECT	ACTION	
<p>Krittibas Ray Ray Pacific Asset Management, Inc. Ray Pacific Asset Management, LLC</p> <p>San Francisco, California</p>	<p>Accusation Re Intent to Issue Order:</p> <ol style="list-style-type: none"> 1. Revoking the Investment Adviser Certificate of Ray Pacific Asset Management, Inc. and Ray Pacific Asset Management, LLC; 2. Barring Krittibas Ray, Ray Pacific Asset Management, Inc. and Ray Pacific Asset Management, LLC, from any Position of Employment, Management or Control of any Broker Dealer, Investment Adviser, or Commodity Adviser <p>December 9, 2010</p>	<p>Unlawful Investment Adviser Activity VIOLATION</p> <p>(Corporations Code Sections 25232, 25232(e) and (h), and 25232.1)</p>
<p>Timothy M. Murphy Capital Investors, Inc.</p> <p>Las Vegas, Nevada Irvine, California</p>	<p>Desist and Refrain Order</p> <p>December 10, 2010</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Sections 25110 and 25401)</p>

SUBJECT	ACTION	
<p>Ron Battistella Auto First Financial, Inc. Santa Clara, California</p>	<p>Desist and Refrain Order December 10, 2010</p>	<p>Unlawful Offer and Sale of VIOLATION Securities (Corporations Code Sections 25110 and 25401)</p>
<p>Professional Business Strategies, Inc. Robert Wayne Hanson, Jr. aka Bob Hanson Robert Eckles www.pbscorporate.com Roseville, California</p>	<p>Desist and Refrain Order December 10, 2010</p>	<p>Unlawful Finance Lender Law Activity (Financial Code Section 22100)</p>
<p>Advent Group, Inc. Advent Group International Property Coast 2 Coast, LP Earl N. Davenport John A. Brankline Cotati, California Van Nuys, California</p>	<p>Order to Produce Documents December 10, 2010</p>	<p>Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)</p>

SUBJECT	ACTION	
Ike Petros Iossif Rancho Cucamonga, California Piraeus, Greece	First Amended Complaint for Permanent Injunction and Ancillary Relief December 13, 2010	Unlawful Investment Adviser VIOLATION Activity (Corporations Code Sections 25232, 25232.1, 25235, 25238, 25241 and 25254)
Andranik Macrdechian Swannson Investment Group, Inc. Beverly Hills, California	Desist and Refrain Order December 14, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)
Gary W. Adkisson Readiness Technology Partners, LLC Napa, California	Desist and Refrain Order December 14, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Billie Davis Escrow Beverly Hills, California	Accusation December 14, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17202, 17608 and 17602.5)

SUBJECT	ACTION	
Kenneth Dale Belding Huntington Beach, California	Statement of Issues in Support of Non-Issuance of Mortgage Loan Originator License December 15, 2010	Non-Issuance of Mortgage VIOLATION Loan Originator License (Financial Code Section 50141)
James Rivera Apostles, Inc. I Really Wish I Had That Inc. Xionyx, LLC Carson, California	Stipulation to Desist and Refrain Order December 15, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
International Payment Services www.1000cashovernight.com L&M Financial Ltd. dba 1000CashOvernight.com Rockville, Maryland Kingstown, St. Vincent and the Grenadines	Desist and Refrain Order December 16, 2010	Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23005 and 23035)

SUBJECT	ACTION	
Mountainside Escrow San Fernando, California	Order Revoking Escrow Agent's License December 16, 2010	Unlawful Escrow Law Activity VIOLATION (Financial Code Section 17606)
Mid Cal Escrow Services, Inc. Oakhurst, California	Suspension Order Settlement Agreement December 16, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17406, 17408 and 17602.5)
Excellence Team Escrow, Inc. Brenda L. Figlioli Alicia Pimentel Irvine, California	Order Revoking Escrow Agent's License, Barring Brenda L. Figlioli from Escrow Industry, and Suspending Alicia Pimentel from Escrow Industry Settlement Agreement December 21, 2010	Unlawful Escrow Law Activity (Financial Code Sections 17406, 17408, 17423 and 17608)

SUBJECT	ACTION	
Barry Bauer Hill Novato, California	Statement of Issues in Support of Non-Issuance of Mortgage Loan Originator License December 21, 2010	Non-Issuance of Mortgage VIOLATION Loan Originator License (Financial Code Section 50141)
American Lending Group, Inc. dba Avant Lending Group, Inc. St. Peters, Missouri	Settlement Agreement December 24, 2010 Order Revoking Residential Mortgage Lender License December 27, 2010	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50123 and 50311)
Assured Financial Services, Inc. http://www.assuredfinancialservicesinc.org San Diego, California	Desist and Refrain Order December 27, 2010	Unlawful Finance Lender Law Activity (Financial Code Sections 22100 and 22161)

SUBJECT	ACTION	
Juan Salas Irvine, California	Statement of Issues in Support of Non-Issuance of Mortgage Loan Originator License December 27, 2010	Non-Issuance of Mortgage VIOLATION Loan Originator License (Financial Code Section 50141)
David Scott Barber Matin Investments, LLC Napa, California	Desist and Refrain Order December 29, 2010	Unlawful Offer and Sale of Securities and Investment Adviser Activity (Corporations Code Sections 25110 and 25230)
James Thomas Martin Threshold Corporation Petaluma, California	Desist and Refrain Order December 29, 2010	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)

SUBJECT	ACTION	
<p>Wanda Pittman Sears</p> <p>Roanoke, Virginia</p>	<p>Statement of Issues and Accusation in Support of Notice of Intention to Issue Orders:</p> <ol style="list-style-type: none"> 1. Denying the Investment Adviser Certificate Application of Wanda Pittman Sears 2. Barring Wanda Pittman Sears from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser <p>December 29, 2010</p>	<p>Unlawful Investment Adviser Activity</p> <p>VIOLATION</p> <p>(Corporations Code Sections 25232 and 25232.1)</p>
<p>FAAR Investment Group, LLC</p> <p>J. Caesar Aguilar</p> <p>Downey, California</p>	<p>Desist and Refrain Order</p> <p>December 30, 2010</p>	<p>Unlawful Finance Lender Law Activity</p> <p>(Corporations Code Section 22100)</p>
<p>Garlic Jim's Franchise International, Inc.</p> <p>Dwayne Northrop</p> <p>Everett, Washington</p>	<p>Statement in Support of:</p> <ol style="list-style-type: none"> 1) Citations 2) Desist and Refrain Order 3) Claims for Ancillary Relief <p>December 30, 2010</p>	<p>Unlawful Franchise Investment Law Activity</p> <p>(Corporations Code Sections 31200, 31201, 31203, 31204 and 31204(b))</p>