

SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)

Apr-13

BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Damien Payman Alexander Sacramento, California Folsom, California	(1) Statement in Support of Order Levying Administrative Penalties (2) Claim for Ancillary Relief (3) Cease and Refrain Order Accusation to Bar Damien Payman Alexander from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser April 2, 2013	
Lucky Team Escrow, Inc. Nancy Tran Garden Grove, California	Order Barring Nancy Tran from any Position of Employment, Management or Control of any Escrow Agent Settlement Agreement April 2, 2013	Unlawful Escrow Law Activity (Financial Code Sections 17404, 17414(a)(1), 17423, 17608 and title 10 § 1738, 1738.1 and 1738.2)

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
Wee Prefer Escrow Orange, California	Order Setting Aside Order to Discontinue Escrow Activities ACTION April 5, 2013	Unlawful Escrow Law Activity (Financial Code Section 17415)
Raymond Reyes dba Debt Pay 1 Porter Ranch, California	Desist and Refrain Order April 10, 2013	Unlawful Prorater Law Activity (Financial Code Section 12200)
Cachet Financial Services fka Cachet Banq, Inc. Al Blowers Pasadena, California	Desist and Refrain Order Stipulation to Entry of Desist and Refrain Order April 12, 2013	Unlawful Finance Lender Law Activity (Financial Code Section 22100)

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
<p>All American Home Mortgage LLC dba Tri-Star Lending</p> <p>Henderson, Nevada</p>	<p>Order (1) Granting Petition for Reinstatement (2) Reinstating California Finance Lenders License</p> <p>ACTION April 12, 2013</p>	<p>Unlawful Finance Lender Law Activity</p> <p>(Government Code Section 11522)</p>
<p>American Equity Direct, LLC American Equity Real Estate Partners, LLC American Equity, LLC Integrity Retirement Partners, LLC Integrity Retirement Partners NV Corp. Masters of Retirement, Inc. Richard William Provencio Carmen Valdavino Provencio aka Carmen Valdavino Anderle Julio Angel Gomez WCM Direct, LLC Windsor Property and Surety, LLC Windsor Property & Surety, LLC</p> <p>San Clemente, California Las Vegas, Nevada San Diego, California Dallas, Texas</p>	<p>Order Issuing a Preliminary Injunction, Confirming Appointment of a Receiver and Ancillary Relief</p> <p>April 12, 2013</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Sections 25110 and 25401)</p>

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
Discount Gold Brokers, Inc. www.discountgoldbrokers.com Sherman Oaks, California	Desist and Refrain Order April 15, 2013 ACTION	Unlawful Commodity Law Activity (Corporations Code Section 29520)
Standard Investment Chartered, Inc. dba Standard Investment Advisers Costa Mesa, California	Order to Discontinue Violations April 18, 2013 Statement in Support of Order Levying Administrative Penalties Statement in Support of Order to Discontinue Violations and Commissioner's Intention to Make Order Final April 22, 2013	Unlawful Investment Adviser Activity (Corporations Code Sections 25249, 25251 and 25252)

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
<p>Piron Escrow, Inc. fka CF Escrow, Inc.</p> <p>El Cajon, California</p>	<p>Order Appointing Kristie Jaynes as Conservator</p> <p>ACTION April 18, 2013</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Section 17630)</p>
<p>A L G Capital, Inc. Crystal Lynn Palomino Michael McConville Sean McConville Garrett Holdridge Joseph Nguyen Alan Ruiz</p> <p>Mission Hills, California</p>	<p>Final Judgment Against Defendants A L G Capital, Inc., Crystal Lynn Palomino, Michael McConville, Sean McConville, Garrett Holdridge, Joseph Nguyen and Alan Ruiz</p> <p>April 19, 2013</p>	<p>Unlawful Finance Lender Law Activity</p> <p>(Financial Code Sections 22156, 22159(b) and 22701)</p>
<p>Bridget Montilla aka Jet Montilla</p> <p>Temple City, California West Covina, California</p>	<p>Accusation</p> <p>April 23, 2013</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Sections 17414(a)(1), 17414(a)(2), title 10 § 1738, 1738.1 and 1738.2)</p>

SUBJECT		VIOLATIONS & CHARGING SECTIONS
Oasis Lending Corporation Burbank, California	Order Denying Petition for Reinstatement ACTION April 24, 2013	Unlawful Finance Lender Law Activity (Government Code Section 11522)
Christopher Allen Russello Camarillo, California	Notice of Petition for Reinstatement April 25, 2013	Unlawful Escrow Law Activity (Government Code Section 11522)
Fusion Exploration, LLC E. Daryl Magette Sam Peter Frees Newport Beach, California	Desist and Refrain Order Stipulation to Desist and Refrain Order April 29, 2013	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
Douglas Alan Rauh Laguna Niguel, California	Order Denying Mortgage Loan Originator License Application ACTION April 29, 2013	Non-Issuance of Mortgage Loan Originator License (Financial Code Sections 50141 and 50513)
Kevin Antony Williams Riverside, California	Order Barring Kevin Antony Williams from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser April 30, 2013	Unlawful Investment Adviser Activity (Corporations Code Section 25232.1)