

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
Dec-13**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Richard H. Sullenger Bakersfield, California	Accusation to Suspend December 2, 2013 Stipulation to an Order Suspending Order Suspending December 13, 2013	Unlawful Broker-Dealer Activity (Corporations Code Section 25213)
Harry Jeffreys Wilson Fresno, California	Amended Desist and Refrain Order December 2, 2013	Unlawful Broker-Dealer Activity (Corporations Code Section 25210)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Efficiency Escrow, Inc. Anela Miyashiro-Lindo Carlsbad, California	Order to Discontinue Violations Order Suspending Escrow Agent's License Order Suspending Anela Miyashiro-Lindo from any Position of Employment, Management or Control of any Escrow Agent Settlement Agreement December 3, 2013	Unlawful Escrow Law Activity (Financial Code Sections 17602, 17604 and 17608)
Solid Solution Escrow San Diego, California	Order Revoking Escrow Agent's License December 10, 2013	Unlawful Escrow Law Activity (Financial Code Section 17606)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
<p>Highland Capital Group, Inc.</p> <p>Los Angeles, California</p>	<p>Desist and Refrain Order; and Order Levying Administrative Penalties</p> <p>Settlement Agreement Between Highland Capital Group, Inc. and The California Department of Business Oversight Resolving:</p> <p>1. Desist and Refrain Order; and 2. Order Levying Administrative Penalties</p> <p>December 16, 2013</p>	<p>Unlawful Investment Adviser Activities</p> <p>(Corporations Code Sections 25230(a), 25252(b) and 25532(b))</p>
<p>Share Trade Income www.sharetradeincome.com</p> <p>Los Angeles, California</p>	<p>Desist and Refrain Order</p> <p>December 20, 2013</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Sections 25110 and 25401)</p>