

SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)

Feb-13

BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Walker Financial Advisors, Inc. Mission Viejo, California	Settlement Agreement February 6, 2013	Unlawful Investment Adviser Activity (Corporations Code Section 25230)
Conestoga Settlement Services, LLC Conestoga International, LLC Michael C. McDermott Washington, D.C. San Juan, Puerto Rico	Desist and Refrain Order February 6, 2013 Stipulation to Desist and Refrain Order February 26, 2013	Unlawful Broker-Dealer Activity and Offer and Sale of Securities (Corporations Code Sections 25210 and 25401)
Latin Professional Escrow Mercedes Hernandez Guadalupe Monserrat Los Angeles, California	Desist and Refrain Order February 11, 2013	Unlawful Escrow Law Activity (Financial Code Sections 17200, 17210.2 and 17403)

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Elie Mikael Sakayan aka Sharkanian aka Sakaran Glendale, California	Stipulation to Final Desist and Refrain Order Febraury 11, 2013	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)
Lucky Team Escrow, Inc. Nancy Tran Garden Grove, California	Order Dismissing Notice of Intention to Issue Order Revoking Escrow Agent's License February 13, 2013	Unlawful Escrow Law Activity (Financial Code Section 17423)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
RMC Capital Management, Inc. Burgess Nathaniel Hallums Innovation Fund 2000, LLC Segue Capital, Inc. Pacific Phoenix Communities, LLC David W. Hoptar Immcapmotion, Inc. Mistnet Medical Devices, Inc. Magneto Inertial Sensing Technology, Inc. aka Mist aka Mist Net, Inc. aka Mist, Inc. Thornton Capital Advisors, Inc. Donald J. Courtney Wallace Benward Ramona, California	Settlement Agreement and Stipulation to Dismiss Without Prejudice Relief Defendants Wallace Benward and Twin Development, LLC February 19, 2013	Unlawful Investment Adviser Activity and Offer and Sale of Securities (Corporations Code Sections 25235, 25235(a) and (b), 25238, 25241, 25401, 25404, title 10 § 260.237, 260.238, and 260.241.3)
Director's Escrow Service, Inc. Culver City, California	Order Revoking Escrow Agent's License February 20, 2013	Unlawful Escrow Law Activity (Financial Code Section 17608)

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<p>Network Capital Funding Corporation Tri Minh Nguyen</p> <p>Irvine, California</p>	<p>Accusation</p> <p>February 26, 2013</p>	<p>Unlawful Finance Lender Law Activity</p> <p>(Financial Code Sections 22100 (b) and (d), 22156, 22161, 22164, 22170 (a) and (b) and 22346)</p>
<p>Efficient Services Escrow Group</p> <p>Huntington Beach, California</p>	<p>Order to Discontinue Escrow Activities</p> <p>February 28, 2013</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Section 17415)</p>