

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
March-17**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Enrique M. Rivera, Sr. Erika Jannet Bueno dba Rivera Auto Insurance Services Calexico, California	Desist and Refrain Order March 2, 2017	Unlawful Finance Lenders Law Activity (Financial Code Section 22100)
PVP Investment Management, LLC Charles Perlitz Kempf Rancho Palos Verdes, California	Statement of Issues and Accusation in Support of Notice of Intention to Issue Orders: 1. Barring Charles Perlitz Kempf from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser 2. Denying the Investment Adviser Certificate Application of PVP Investment Management, LLC March 2, 2017	Unlawful Investment Adviser Activity (Corporations Code Sections 25232 and 25232.1)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Roy Graigo Roy Graigo Private Lending Services, LLC Westminster, California	Desist and Refrain Order March 2, 2017	Unlawful Finance Lenders Law Activity (Financial Code Section 22100)
Matrix Capital Markets, LLC San Francisco, California	Order Summarily Revoking Investment Adviser Certificate March 3, 2017	Unlawful Investment Adviser Activity (Corporations Code Section 25242)
Media360 Franchising, LLC Scottsdale, Arizona	Stipulation: (1) Desist and Refrain Order; (2) Assessment of Administrative Penalties; (3) Final Stop Order Denying Effectiveness of Franchise Renewal Registration Application; and (4) Other Ancillary Relief March 6, 2017	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31402, 31406, 31408 and 31115)

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Hills Bancorporation Hills, Iowa	Desist and Refrain Order Stipulation to Entry of Desist and Refrain Order March 7, 2017	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Security Home Mortgage, LLC Orem, Utah	Order (1) Granting Petition for Reinstatement and (2) Reinstating California Finance Lenders License March 7, 2017	Unlawful Finance Lenders Law Activity (Government Code Section 11522)
Matthew R. Hodlin Fallbrook, California	Amended Accusation in Support of Notice of Intention to Bar Matthew R. Hodlin from any Position of Employment, Management, or Control March 8, 2017	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50318)

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Robin Talia El Cajon, California	Accusation to Bar Robin Talia from Employment, Management or Control of any Finance Lender, Broker, or Mortgage Loan Originator Accusation to Bar Robin Talia from Employment, Management or Control of any Residential Mortgage Lender, Residential Mortgage Loan Servicer, or Mortgage Loan Originator March 9, 2017	Unlawful Finance Lenders Law and Residential Mortgage Lending Activity (Financial Code Sections 22169 and 50318)
Liberty Capital Group, Inc. San Diego, California	Consent Order March 10, 2017	Unlawful Finance Lenders Law Activity (Financial Code Sections 22100 and 22712)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Cash By Loans aka Cashbyloans aka Cash Loan Fort Walton Beach, Florida	Desist and Refrain Order March 15, 2017	Unlawful Finance Lenders Law Activity (Financial Code Section 22100)
Eye Pocket Media, Inc. William Norris Herred Ronald Hopping Soquel, California	Stipulation Between Plaintiff and Defendant Ronald Hopping for Entry of Final Judgment of Permanent Injunction and Restitution March 16, 2017 Stipulation Between Plaintiff and Defendant William Norris Herred for Entry of Final Judgment of Permanent Injunction and Restitution March 22, 2017	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)

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Cantor Real Estate Finance Lending, LLC New York, New York	Notice of Petition for Reinstatement March 17, 2017 Order Conditionally Granting Petition for Reinstatement March 28, 2017	Unlawful Finance Lenders Law Activity (Government Code Section 11522)
NXTLoan.com Brighton, Massachusetts	Order Summarily Revoking California Residential Mortgage Lender License March 17, 2017	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50401)
Penny Oil Speculator San Francisco, California Alameda, California	Desist and Refrain Order March 20, 2017	Unlawful Investment Adviser Activity (Corporations Code Section 25230)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
A.C.T. Escrow, Inc. Lancaster, California West Covina, California	Order Terminating Conservatorship March 20, 2017	Unlawful Escrow Law Activity (Financial Code Section 17630)
Cuong Doan aka Ken Doan Fountain Valley, California	Order Barring Cuong Doan, also known as Ken Doan, from Employment, Management, and Control of any Escrow Agent March 20, 2017	Unlawful Escrow Law Activity (Financial Code Section 17423)
Global Command, Inc. Basilio A. Nunez Ben Campos Irvine, California	Desist and Refrain Order March 23, 2017	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Judi Woods Calabasas, California Corona, California	Statement of Issues in Support of Order Denying the Mortgage Loan Originator License Application of Judi Woods March 23, 2017	Mortgage Loan Originator License (Financial Code Section 22109.1)

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Nicholas Stephen Carabba Laguna Hills, California	Amended Statement of Issues March 24, 2017	Mortgage Loan Originator License (Financial Code Section 22109.1)
Saakar Corporation dba Anaheim Cash Co. Anaheim, California	Desist and Refrain Order March 27, 2017	Unlawful Deferred Deposit Transaction Law Activity (Financial Code Section 23024)
Richard W. Simpson Santa Fe, California	Order Withdrawing Notice of Intention to Issue Order Barring Richard W. Simpson from any Position of Employment, Management or Control of any Broker-Dealer or Investment Adviser March 27, 2017	Unlawful Broker-Dealer Activity (Corporations Code Section 25213)