

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
March-16**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Grace Capital Management, LLC Torrance, California	Order Summarily Revoking Investment Adviser Certificate Issued to Grace Capital Management, LLC March 2, 2016	Unlawful Investment Adviser Activity (Corporations Code Section 25242)
Christopher Conner Conner and Associates, LLC dba Franchise Marketing Systems Gainseville, Georgia	Stipulation to Citation March 8, 2016	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31200 and 31204)
Julio Angel Gomez San Diego, California	Order Denying Mortgage Loan Originator License Application March 9, 2016	Non-Issuance of Mortgage Loan Originator License (Financial Code Section 22109.1)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Nationwide Debt Direct, LLC Nationwide Debt Direct MGR, LLC Frisco, Texas	Desist and Refrain Order March 9, 2016	Unlawful Prorater Law Activity (Financial Code Section 12200)
Paradise on Wings Franchise Group LLC dba Wing Nutz Ariana Speirs Salt Lake City, Utah	Desist and Refrain Order March 9, 2016	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31110 and 31201)
Quinton Berry Financial Services Enterprises dba Pioneer Capital Irvine, California	Desist and Refrain Order Order Withdrawing Desist and Refrain Order March 10, 2016	Unlawful Finance Lender Law Activity (Financial Code Sections 22100 and 22161)

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<p>Open Mortgage, LLC Austin, Texas</p>	<p>Amended Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final</p> <p>Amended Accusation in Support of Notice of Intent to Issue Orders Suspending Licenses and Imposing Penalties</p> <p>March 14, 2016</p>	<p>Unlawful Residential Mortgage Lending Activity</p> <p>(Financial Code Section 50321)</p>
<p>Saving2Retire, LLC Marian P. Young Irvine, California</p>	<p>1. Order Denying the Investment Adviser Certificate Application of Saving2Retire, LLC</p> <p>2. Order Barring Marian P. Young from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser</p> <p>March 14, 2016</p>	<p>Unlawful Investment Adviser Activity</p> <p>(Corporations Code Sections 25232 and 25232.1)</p>

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<p>Christopher Todd Barton Santa Ana, California</p>	<p>Accusation to Revoke Mortgage Loan Originator License March 15, 2016</p>	<p>Mortgage Loan Originator License (Financial Code Sections 22161(e)(2), 22161(f), 22172(a)(1), 22109.1(a)(3) and 22172(a)(2))</p>
<p>A.T. Lloyd's, Inc. Seattle, Washington</p>	<p>1. Desist and Refrain Order; 2. Order Levying Administrative Penalties; 3. Order for Ancillary Relief Consent Order March 16, 2016</p>	<p>Unlawful Investment Adviser Activity (Corporations Code Sections 25230(a), 25532(b), 25252(b) and 25254(a))</p>
<p>Paulette Maureen Dystant Irvine, California</p>	<p>Accusation to Revoke Mortgage Loan Originator License March 16, 2016</p>	<p>Mortgage Loan Originator License (Financial Code Sections 22705.1(a), 22109.1(a)(3), 22172(a)(1) and 22172(a)(2))</p>

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Diana Irene Dibble Woodland Hills, California	Accusation to Revoke Mortgage Loan Originator License March 16, 2016	Mortgage Loan Originator License (Financial Code Sections 50316(a), 50141(a)(3), 50513(a)(1) and 50513(a)(2))
Anthony Ottimo Plainview, New York Delray Beach, Florida	Order Barring Anthony Ottimo from any Position of Employment, Management or Control of any Broker-Dealer or Investment Adviser March 16, 2016	Unlawful Broker-Dealer Activity (Corporations Code Section 25213)
Steele Shannon Hello Kitty Film Partners, LLC Jeran Jaurigue Delray Beach, Florida	Desist and Refrain Order and Order for Ancillary Relief March 17, 2016	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)

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David A. Randall D&D Closing Services, L.L.C. www.ddclosingservices.com San Diego, California	Desist and Refrain Order March 17, 2016	Unlawful Escrow Law Activity (Financial Code Sections 17200 and 17403)
Kenneth Jay Schwartz Southlake, Texas	Accusation in Support of Notice of Intention to Issue Order Revoking Mortgage Loan Originator License of Kenneth Jay Schwartz March 18, 2016	Mortgage Loan Originator License (Financial Code Sections 50141, 50327 and 50513)
Interactive Escrow, Inc. Arcadia, California	Accusation March 23, 2016 Order Dismissing Notice of Intention to Issue Order Revoking Escrow Agent's License March 29, 2016	Unlawful Escrow Law Activity (Financial Code Sections 17600 and 17608)

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<p>American Capital Strategies, Ltd. Bethesda, Maryland</p>	<p>Order Conditionally Granting Petition for Reinstatement March 24, 2016 Desist and Refrain Order Stipulation to Entry of Desist and Refrain Order, Restitution, and Payment of Penalties Order (1) Granting Petition for Reinstatement and (2) Reinstating California Finance Lender License March 30, 2016</p>	<p>Unlawful California Finance Lender Law Activity (Government Code Section 11522) (Financial Code Section 22100)</p>
<p>David Meade Thomas Orange, California</p>	<p>Order Dismissing Notice of Intention to Issue Order Denying Mortgage Loan Originator License Application March 28, 2016</p>	<p>Mortgage Loan Originator License (Financial Code Sections 22105.1 and 22109.1)</p>

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<p>Americans Helping America, Inc. dba AHA dba AHA-Chamber of Commerce Community Independent Business Owner, Inc. dba CIBO Jeffrey A. Sevier Helaman Hansen</p> <p>Sacramento, California Elk Grove, California</p>	<p>Desist and Refrain Order</p> <p>March 28, 2016</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Section 25110)</p>
<p>Hal Snellgrose Biofuel Technology, Inc. Charles Krauth TOFTT Equity Group, LLC</p> <p>Stateline, Nevada Orinda, California</p>	<p>Desist and Refrain Order</p> <p>March 29, 2016</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Section 25110)</p>

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UCA Escrow, Inc. Fountain Valley, California	Accusation in Support of Order Revoking UCA Escrow, Inc.'s Escrow Agent License March 30, 2016	Unlawful Escrow Law Activity (Financial Code Sections 17202, 17213.1, 17406, 17600, 17602.5 and 17608)
Joy Escrow, Inc. Buena Park, California	Accusation to Revoke Escrow Agent's License March 30, 2016	Unlawful Escrow Law Activity (Financial Code Sections 17600 and 17602.5)