

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
November 2018**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Daniel Irvin McCourt dba Financial Planning Associates Aptos, California	Order Denying Investment Adviser Certificate Application of Daniel Irvin McCourt dba Financial Planning Associates November 1, 2018	Unlawful Investment Adviser Activity (Corporations Code Sections 25232(d) and (e))
Mount Olympus Mortgage Company dba MOMCo, Inc. Tru Mortgage Irvine, California	Consent Order November 5, 2018	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50120(c) and 50130(c))
Pacific Union Financial, LLC Farmers Branch, Texas	Stipulation November 5, 2018	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50202(d))

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Elizabeth Alejo San Jose, California	Settlement Agreement Order Suspending Mortgage Loan Originator License Issued to Elizabeth Alejo November 6, 2018	Mortgage Loan Originator License (Financial Code Section 50513)
Hacienda Escrow Corporation Ontario, California	Order to Discontinue Escrow Activities November 7, 2018 Order Setting Aside Order to Discontinue Escrow Activities November 29, 2018	Unlawful Escrow Law Activity (Financial Code Section 17415)
Crawford Capital, LLC Los Angeles, California	Consent Order November 8, 2018	Unlawful Investment Adviser Activity (Corporations Code Sections 25532 and 25252)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Ringside Development Company dba Bio-One, Inc. Phoenix, Arizona Greenwood Village, Colorado	Consent Order November 14, 2018	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31110 and 31406)
Russell William Burgin London, Kentucky	Statement of Issues November 16, 2018	Mortgage Loan Originator License (Financial Code Sections 22109.1 and 22109.1(a)(3))
Lamb Energy Finance, LLC Riverside, California	Order Granting Petition for Reinstatement and Reinstating License November 18, 2018	Unlawful California Financing Law Activity (Government Code Section 11522)
LPL Financial LLC Oakland, California	Consent Order November 19, 2018	Unlawful Broker-Dealer Activity (Corporations Code Sections 25130, 25241, 25218 and title 10 § 260.218.4)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Savvy Wellness Ira Warkol Bruce Robinson Canoga Park, California	Desist and Refrain Order November 19, 2018	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Henri Jeanrenaud Santa Cruz, California	Consent Order November 19, 2018	Unlawful Offer and Sale of Securities and Broker-Dealer and Broker-Dealer Activity (Corporations Code Sections 25110, 25210 and 25230(a))
LenderEDGE, LLC Newport Beach, California	Statement of Issues November 20, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22100(a), 22109(a)(1) and (a)(3))
Chris Salas Newport Beach, California	Order Barring Chris Salas from any Position of Employment, Management or Control of any Escrow Agent November 20, 2018	Unlawful Escrow Law Activity (Financial Code Section 17423)

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Alexander Thomas Carlucci Lombard, Illinois	Statement of Issues in Support of Notice of Intention to Issue Order Denying Mortgage Loan Originator License Application November 21, 2018	Mortgage Loan Originator License (Financial Code Section 22109.1)
Tower Escrow, Inc. Los Angeles, California	Accusation in Support of Order Suspending Tower Escrow, Inc.'s Escrow Agent License November 26, 2018	Unlawful Escrow Law Activity (Financial Code Sections 17414, 17604 and title 10 § 1738, 1738.1 and 1738.2)
James Johnson All Mark Insurance Services, Inc. Laguna Hills, California	Consent Order November 26, 2018	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110, 25210 and 25230(a))
Artis Ventures Management, L.P. San Francisco, California	Order Withdrawing Notice of Intention to Issue Order Denying Application for an Investment Adviser Certificate November 27, 2018	Unlawful Investment Adviser Activity (Corporations Code Section 25232)

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<p>San Dieguito Escrow, Inc. Encinitas, California</p>	<p>Accusation in Support of Order Suspending San Dieguito Escrow, Inc.'s Escrow Agent's License November 27, 2018</p>	<p>Unlawful Escrow Law Activity (Financial Code Sections 17414, 17608, title 10 § 1732.1, 1732.2, 1732.3, 1737.3, 1738, 1738.1, 1738.2, and 1741.2)</p>
<p>Realty Escrow Corporation Roberto Melchor Frank Cancino Carol Alvarez Commerce, California</p>	<p>Settlement Agreement Order Barring Carol Alvarez from any Position of Employment, Management, or Control of any Escrow Agent Order Barring Roberto Melchor from any Position of Employment, Management, or Control of any Escrow Agent Order Suspending Frank Cancino from any Position of Employment and Bar from Management or Control of any Escrow Agent November 29, 2018</p>	<p>Unlawful Escrow Law Activity (Financial Code Sections 17423, 17420, 17414(a)(1) and (a)(2), and title 10 § 1738 and 1738.2)</p>

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Acceptance Capital Mortgage Corporation Spokane, Washington	Consent Order November 26, 2018 Erratum November 29, 2018	Unlawful California Financing Law and Residential Mortgage Lending Activity (Financial Code Sections 50321, 50501.5 and 50513)
Peter Cha Whittier, California	Statement of Issues in Support of Order Denying Application for Mortgage Loan Originator License November 30, 2018	Mortgage Loan Originator License (Financial Code Sections 22109, 22109.1(a)(3) and 22161)